

Quantum Spin Liquids: Signatures of Fractionalization

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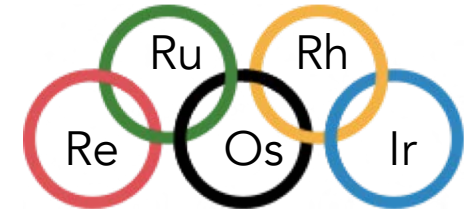
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Université Paris-Saclay, Zoom Seminar, July 1, 2020

Center of Emergent Materials
NSF MRSEC – DMR



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Roadmap

- Big picture
- 2D: Kitaev Model
 - ❖ Discovery of a new gapless QSL with a spinon Fermi surface
 - ❖ Spectrum of 1 spin flip and 2 spin flip excitations
- QSL Materials
- How do you detect a QSL?
- Going forward....

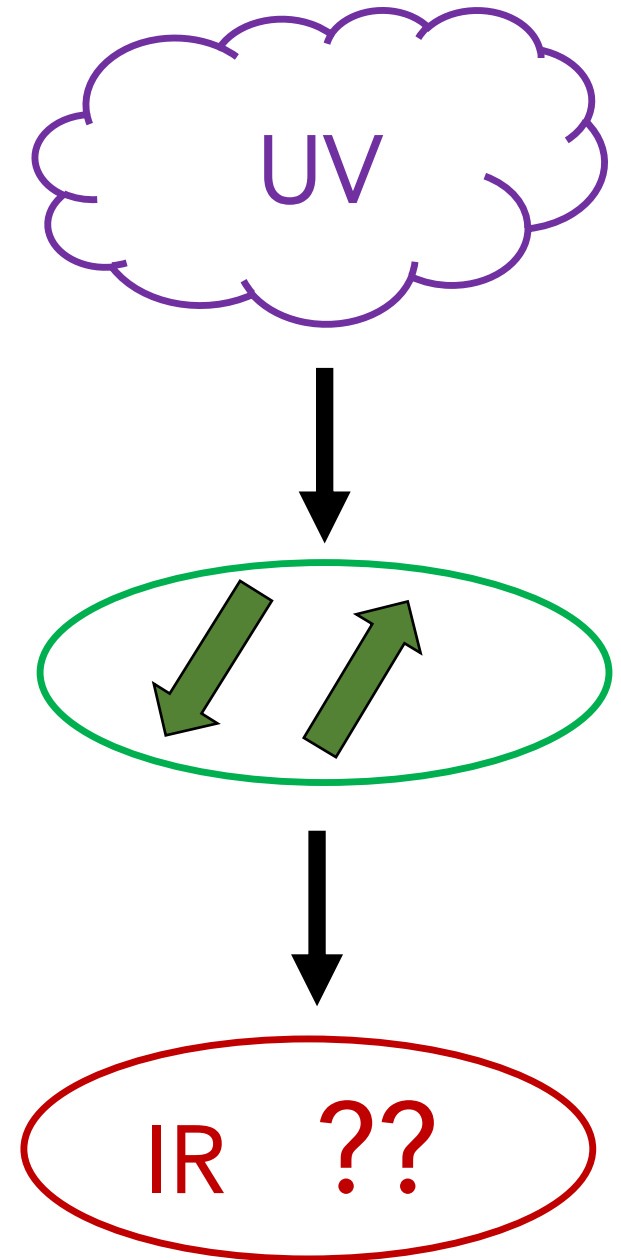


Big Picture

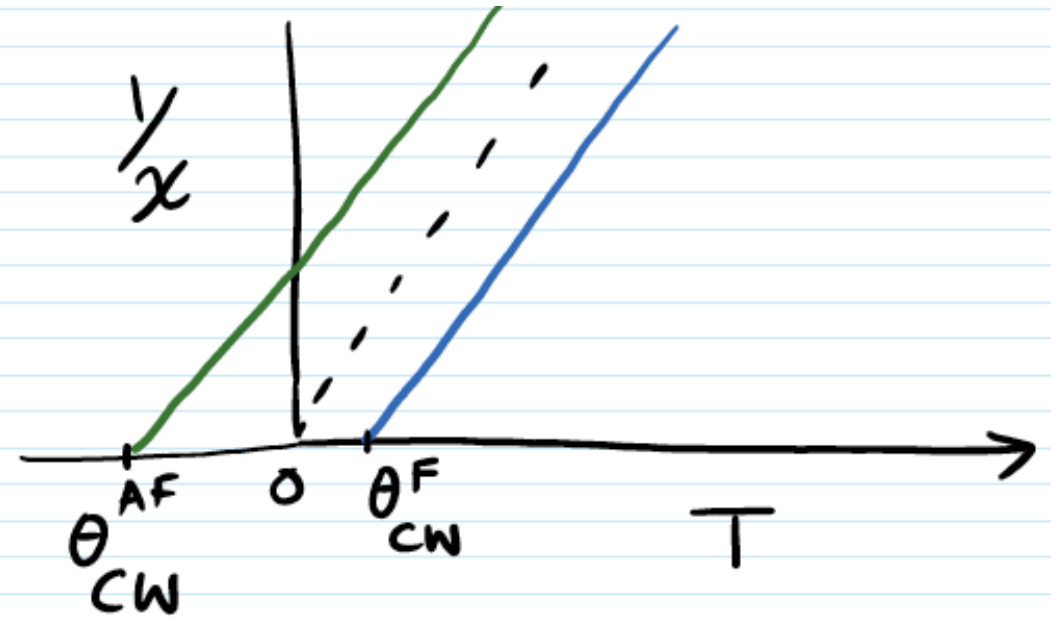
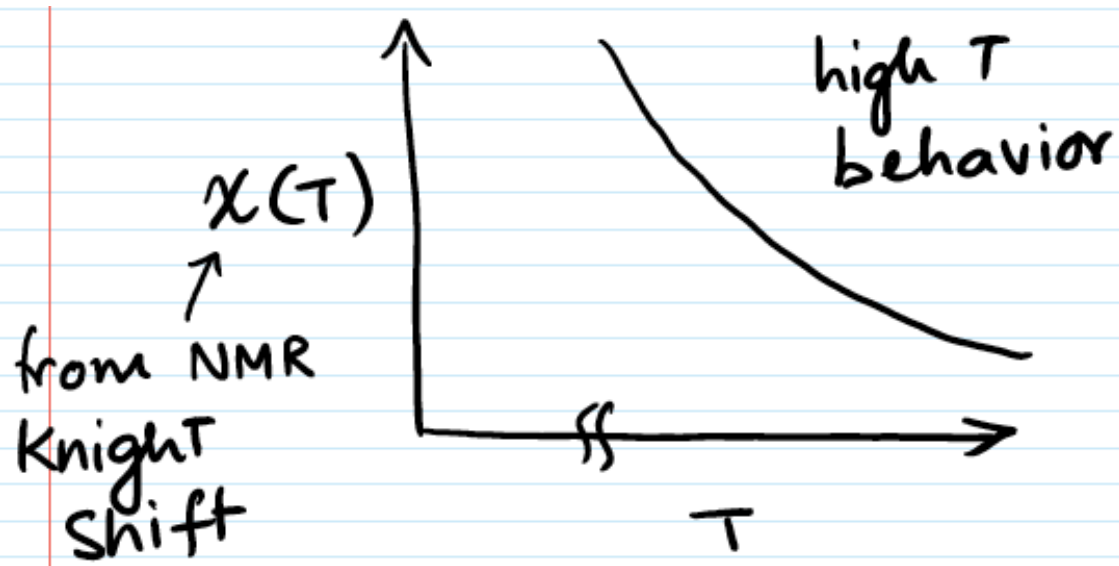
What is a QSL?

Why is it interesting? Important?

First Signatures of a QSL



First signatures of a QSL: large frustration parameter

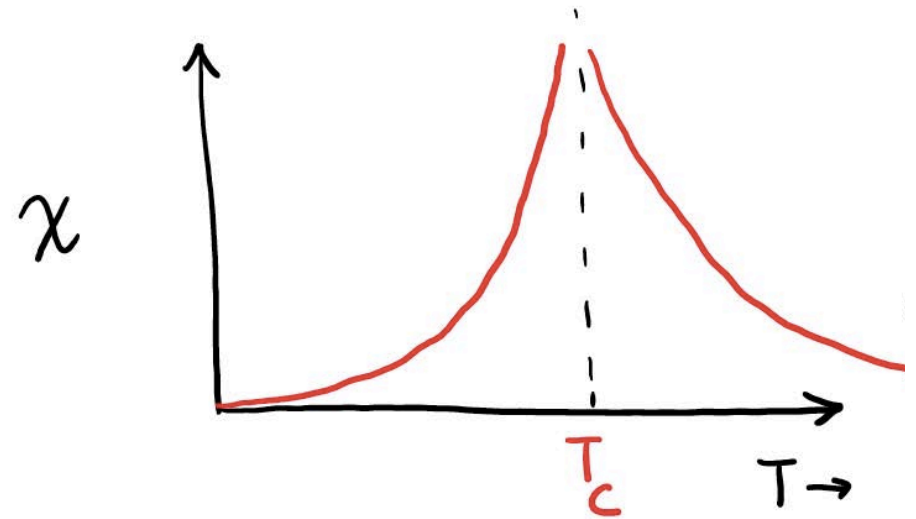
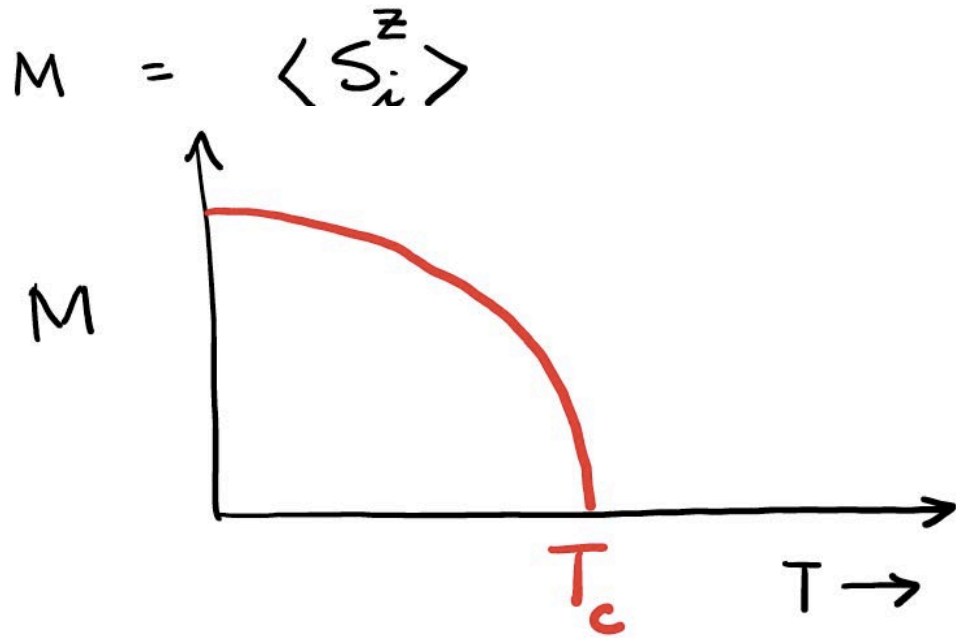


$$\chi(T) = \frac{C}{T - \theta_{CW}}$$

Spontaneously broken time reversal symmetry

$$\mathcal{H} = J \sum_{\langle ij \rangle} S_i^z S_j^z$$

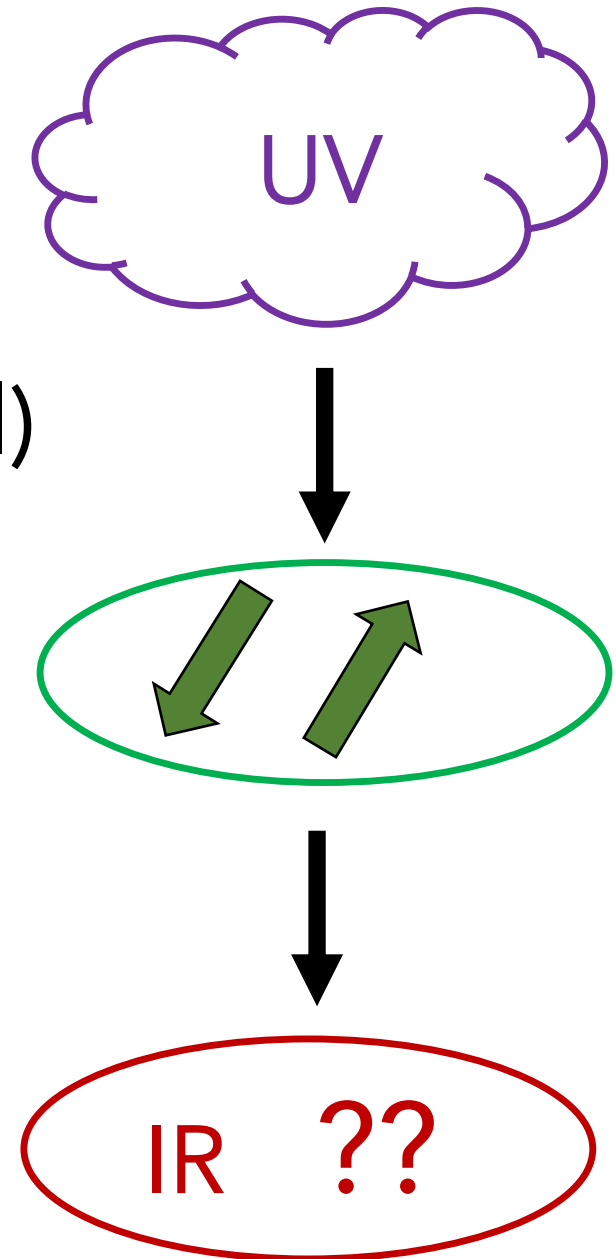
$$S_i = \pm \frac{1}{2}$$



$$f = \frac{|\theta_{cw}|}{T_c}$$

First Signatures of a QSL

- **Mott insulator**
(odd number of electrons in unit cell)
- **Local moments**
(typically $S=1/2$ or $J_{\text{eff}}=1/2$)
- **Strongly interacting moments**
 $\theta_{\text{CW}} \sim 100 \text{ K}$
- **No magnetic ordering**
 $f = \theta / T_c \sim 10^4$



First Signatures of a QSL



So why is a paramagnet interesting?

- **Mott insulator**
(odd number of electrons in unit cell)
- **Local moments**
(typically $S=1/2$ or $J_{\text{eff}}=1/2$)
- **Strongly interacting moments**
 $\theta \sim 100$ K
- **No magnetic ordering**
 $f = \theta / T_c \sim 10^4$

Quantum Matter

- Landau paradigm: spontaneously broken symmetry →
local order parameter m
bosonic excitations: magnons (for continuous spins)

□ Topological Paradigm

"IQHE"

Topological Insulators
Topological Superconductors
Topological Weyl and Dirac Semimetals
Topological magnons

"FQHE"

Quantum Spin Liquids
Possess Topological Order

- Ground state degeneracy
- Long range entanglement
- Fractionalized Excitations

Review: Savary and Balents, Repts. on
Progress in Physics 80, 016502 (2017)

Wen, X.-G. (1989) PRB 40, 7387

Wen, X.-G. and Niu, Q. (1990) PRB 41, 9377

Quantum Matter

- ❑ Landau paradigm: spontaneously broken symmetry →
local order parameter m
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Topological Superconductors
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Topological magnons


"FQHE"

Quantum Spin Liquids
Possess Topological Order

- Ground state degeneracy
- Long range entanglement
- Fractionalized Excitations

Important for storing information non-locally;
robust against decoherence

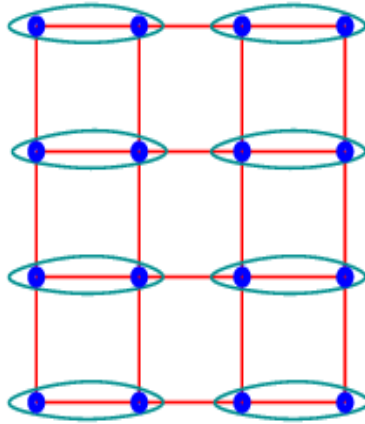
Singlet
or valence bond



A diagram showing two blue spheres representing particles, enclosed within a green horizontal oval representing a singlet valence bond.

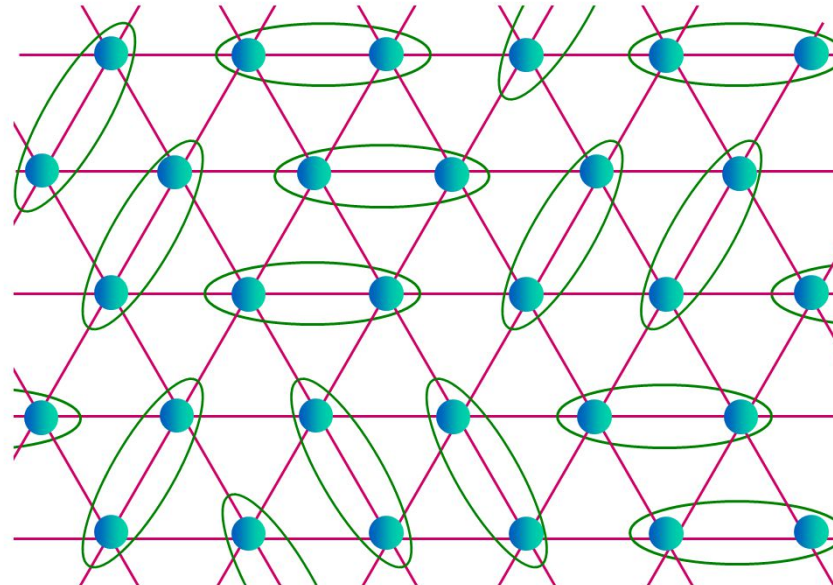
$$= \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

valence
bond solid




Picture of a QSL

Resonating valence bond
-- candidate quantum spin liquid

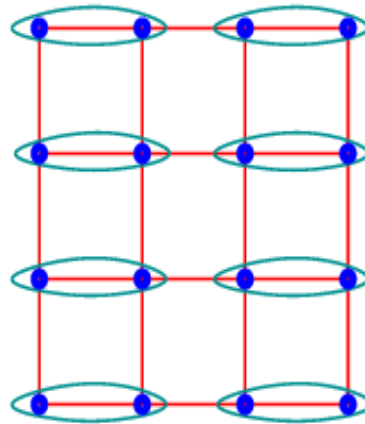


Anderson 1973

Singlet
or valence bond

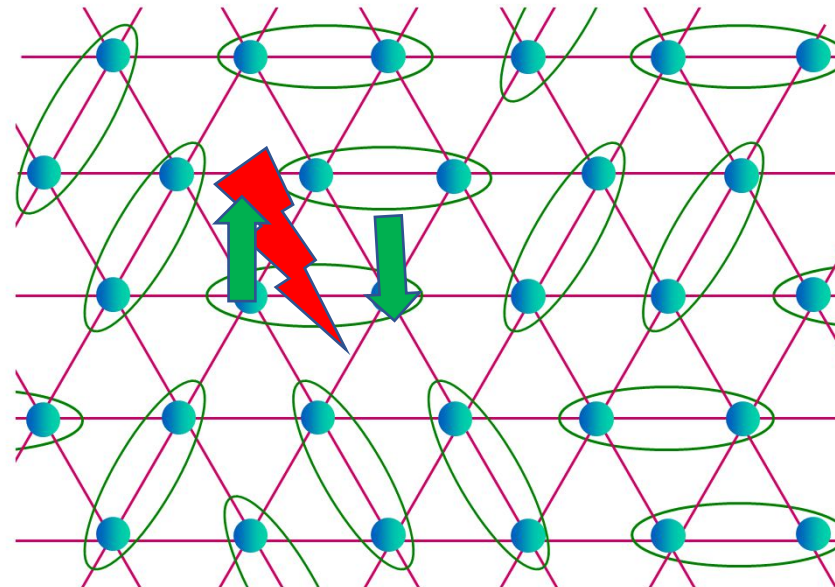

$$= \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

valence
bond solid



Resonating valence bond
-- candidate quantum spin liquid

Anderson 1973



Excitations of a QSL

Contrast with
ordered magnet:
Magnons: $S=1$ (bosons)

deconfined
 $S=1/2$
spinons

Fermionic
excitations

Why would a bunch of interacting spins
not order at $T=0$?

- (1) Low spin
- (2) Low dimensionality
- (3) Frustration
[Geometric, Interactions, ...]



Quantum
Fluctuations

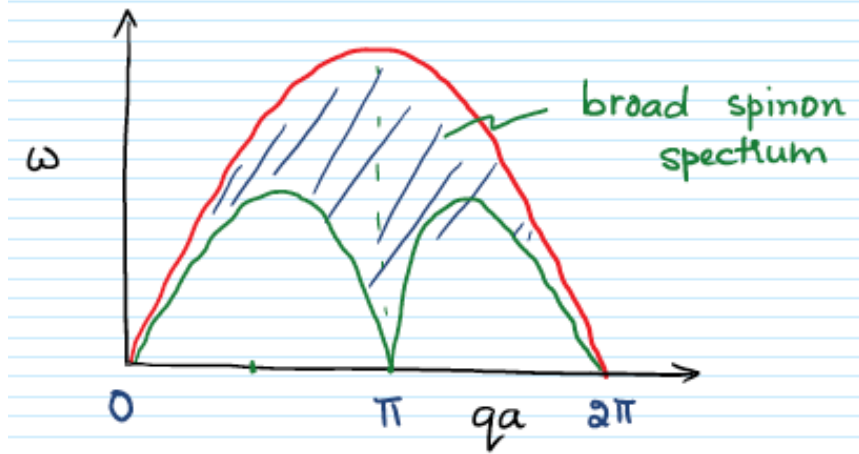
Fractionalization of excitations in quantum spin liquids

1d Quantum Spin Liquid

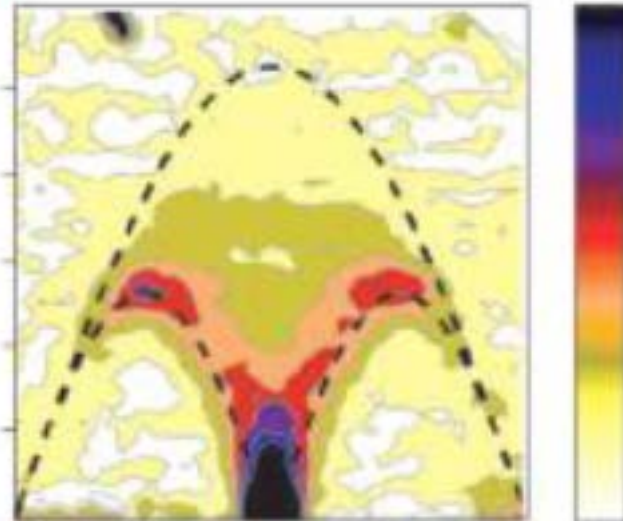
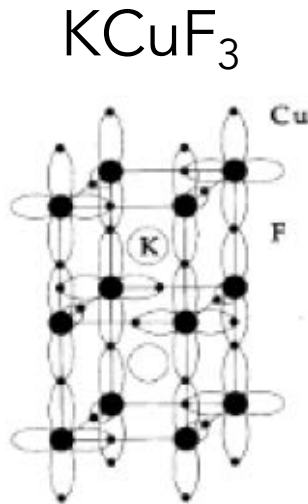
$$|RVB\rangle = \left\{ \begin{aligned} &| \cdot \text{---} \cdot \quad \cdot \text{---} \cdot \text{---} \cdot \text{---} \cdot \rangle \\ &+ | \cdot \text{---} \cdot \quad \cdot \text{---} \cdot \quad \cdot \text{---} \cdot \rangle \\ &+ \dots \end{aligned} \right\}$$

linear superposition of all possible singlet coverings \rightarrow Spin liquid

Fractionalized $S=1$ magnons (bosons) into two $S=1/2$ neutral spinons (fermions)



Inelastic neutron scattering $S(q, \omega)$



$$\omega_l(q) = \frac{\pi J}{2} |\sin qa|$$

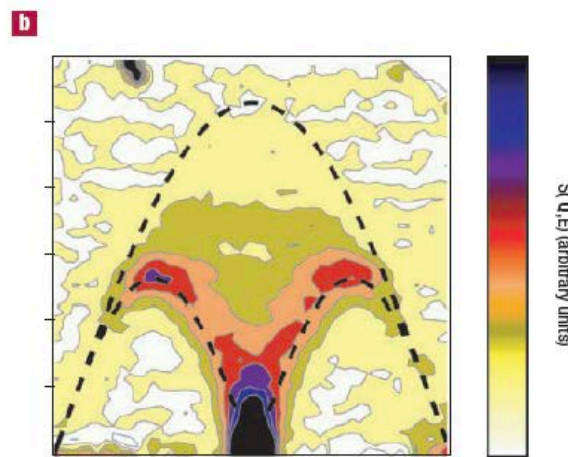
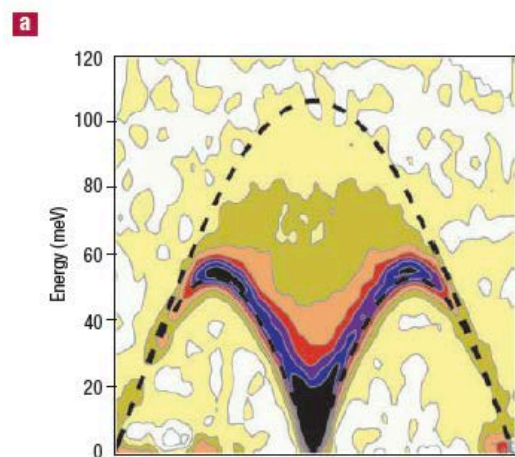
$$\omega_u(q) = \pi J \left| \sin \frac{qa}{2} \right|$$

compare with $\omega_m(q) = 2J |\sin qa|$

Broad spectrum indicates fractionalization of magnons

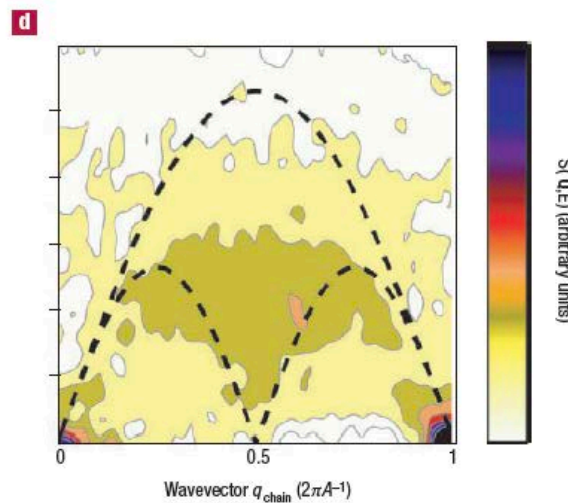
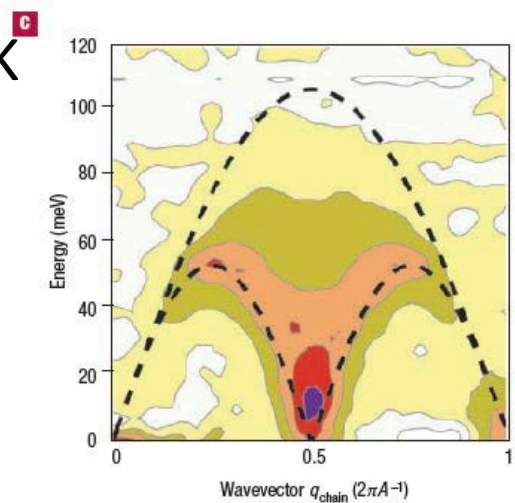
Ordering ~ 10K

T=6K



T=50 K

T=150 K



T=300 K

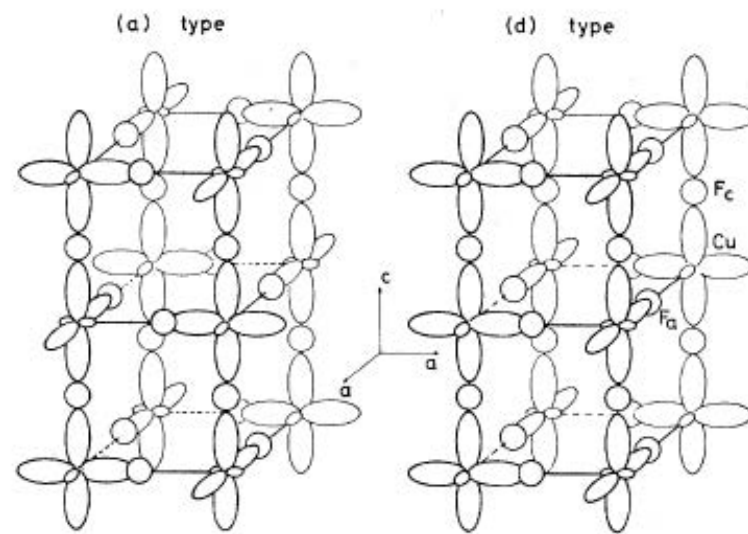


FIG. 1. Schematics of type (a) and (d) structures observed in KCuF_3 .

$J \sim 340 \text{ K}$

$J_{\perp} = -16 \text{ K}$

Black dotted: multi-spinon continuum predicted at T=0 (Muller ansatz equation)

Roadmap

➤ Big picture

➤ 2D: Kitaev Model

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❖ Spectrum of 1 spin flip and 2 spin flip excitations

➤ QSL Materials

➤ How do you detect a QSL?

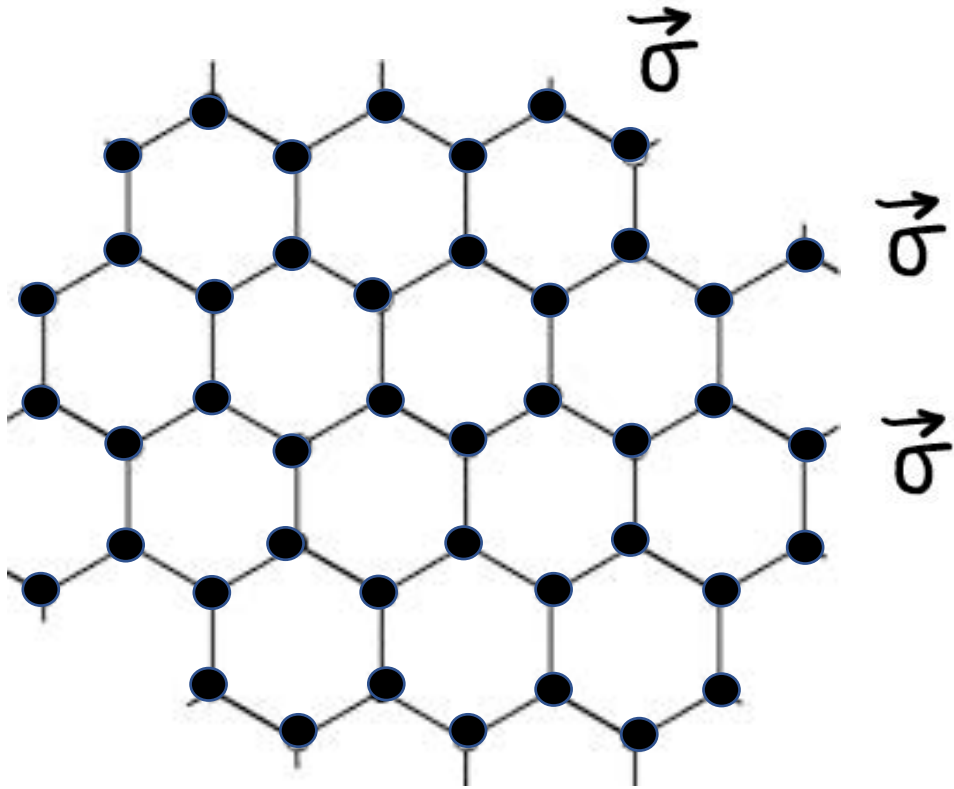
➤ Going forward....



Kitaev Model



A. Kitaev, *Annals of Physics* 321, 2-111 (2006)

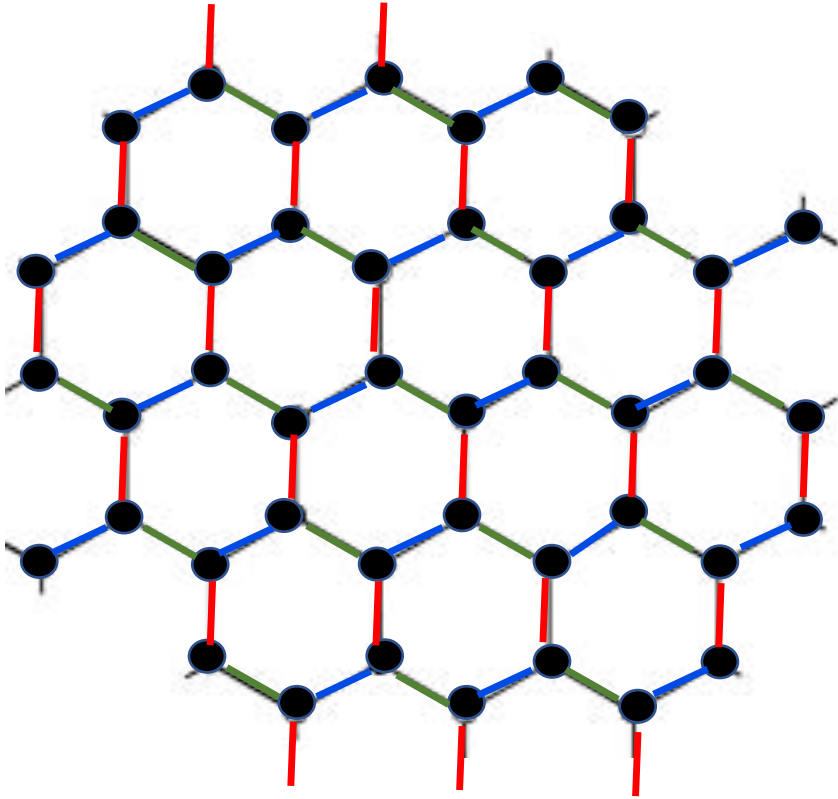


put qubit on each site

Honey comb lattice

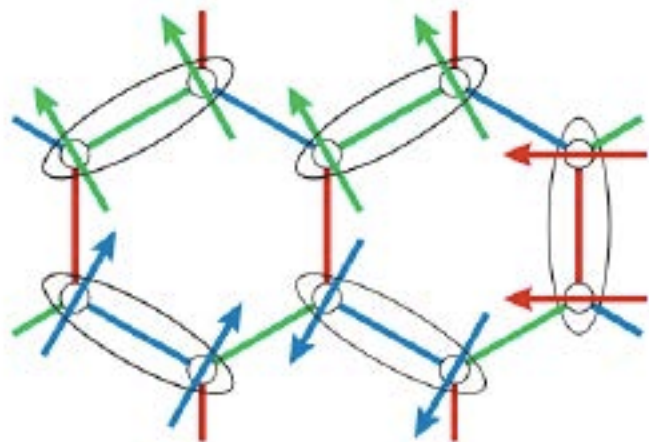
Bipartite lattice; no geometric frustration

Kitaev Model: bond-dependent interactions

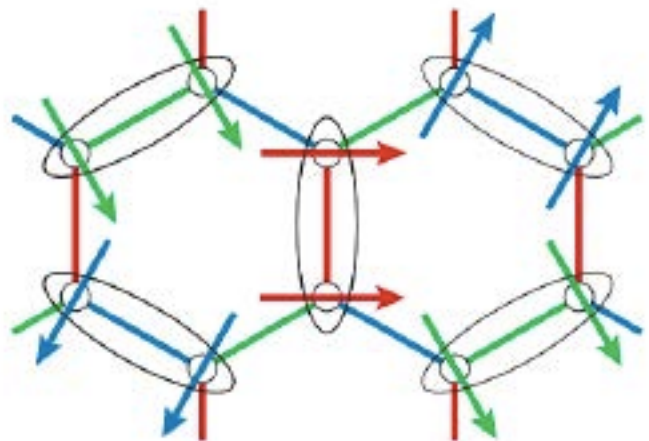


$$\mathcal{H} = K \left[\sum_{\langle ij \rangle \in x} \sigma_i^x \sigma_j^x + \sum_{\langle ij \rangle \in y} \sigma_i^y \sigma_j^y + \sum_{\langle ij \rangle \in z} \sigma_i^z \sigma_j^z \right]$$

Kitaev Model: bond-dependent interactions



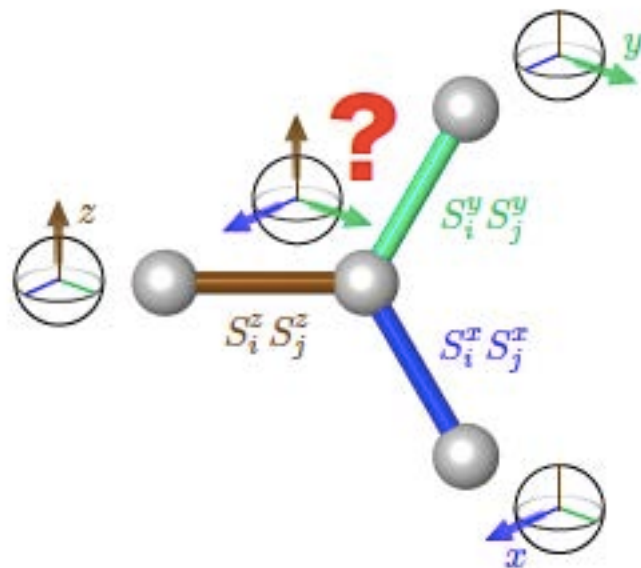
+



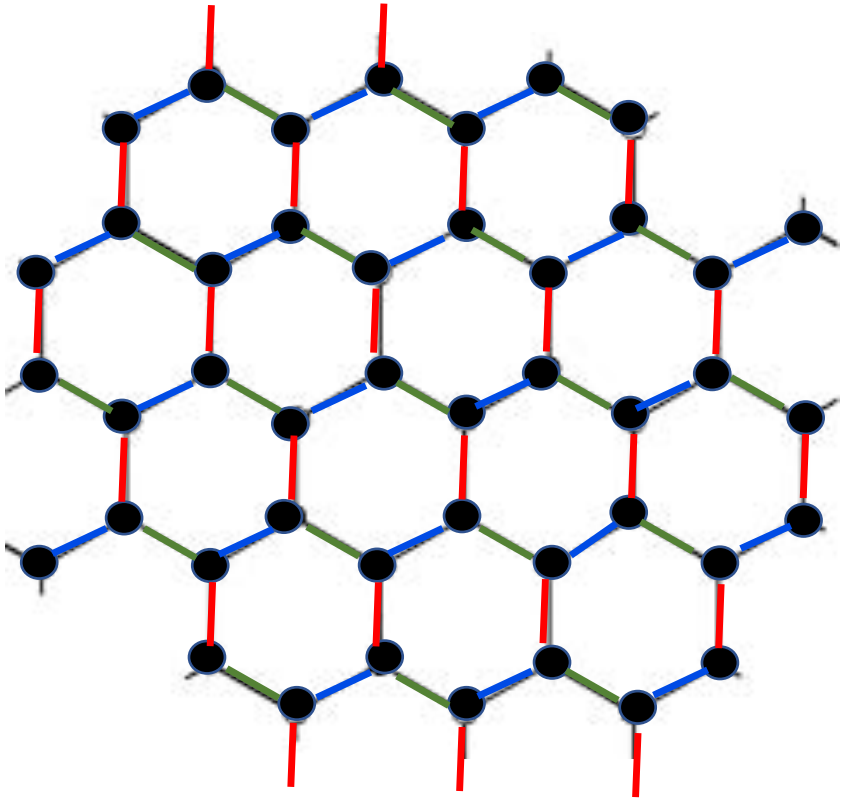
+

...

$$\mathcal{H} = K \left[\sum_{\langle ij \rangle \in x} \sigma_i^x \sigma_j^x + \sum_{\langle ij \rangle \in y} \sigma_i^y \sigma_j^y + \sum_{\langle ij \rangle \in z} \sigma_i^z \sigma_j^z \right]$$



Kitaev Model: bond-dependent interactions



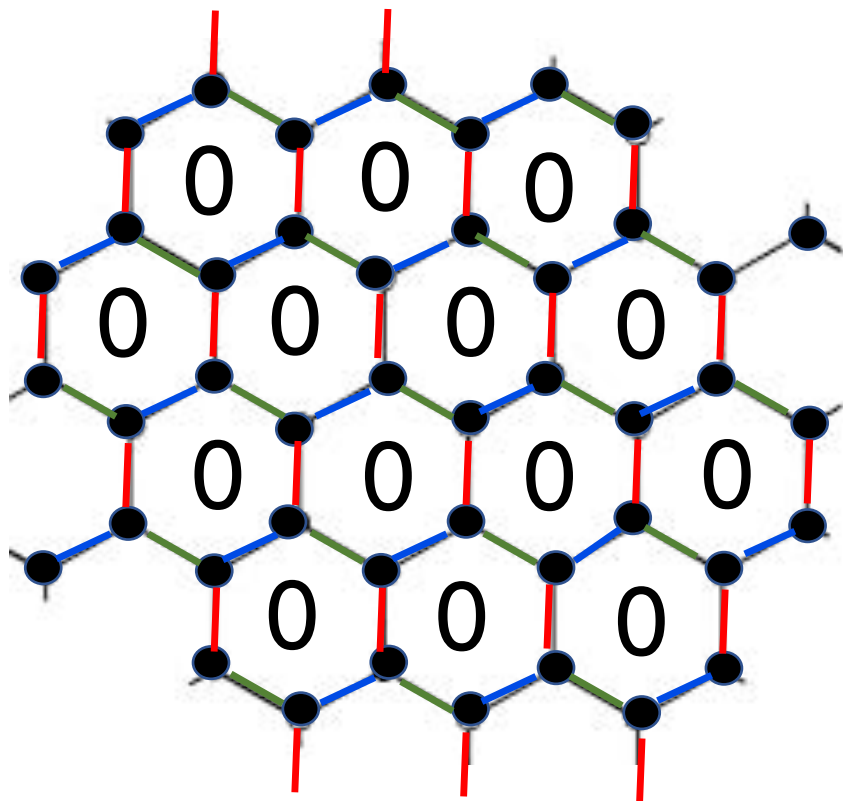
$$\mathcal{H} = K \left[\sum_{\langle ij \rangle \in x} \sigma_i^x \sigma_j^x + \sum_{\langle ij \rangle \in y} \sigma_i^y \sigma_j^y + \sum_{\langle ij \rangle \in z} \sigma_i^z \sigma_j^z \right]$$

Parton construction:

$$\sigma^{\alpha} = i b^{\alpha} c$$

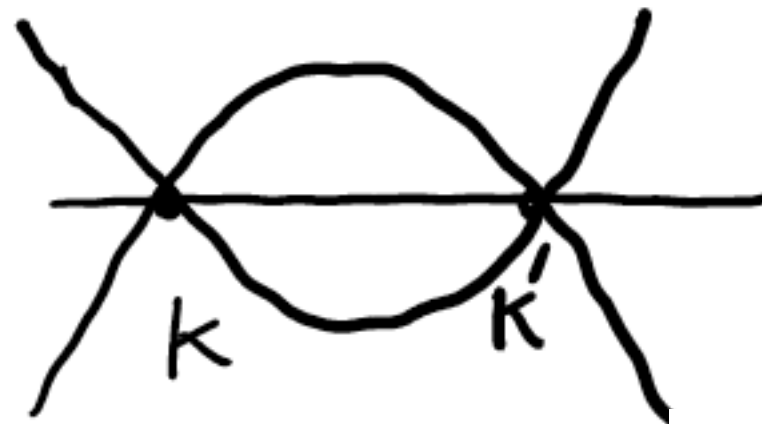
$$\mathcal{H} = K \frac{i}{2} \sum_{\langle ij \rangle} \hat{u}_{ij} c_i c_j$$

Ground State:

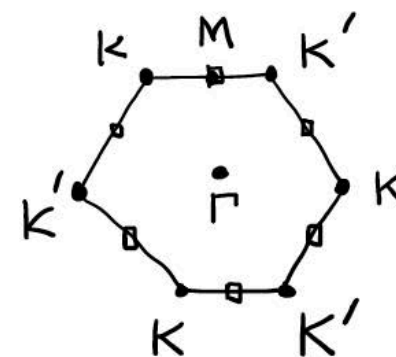


All plaquettes have zero flux

c-Majoranas

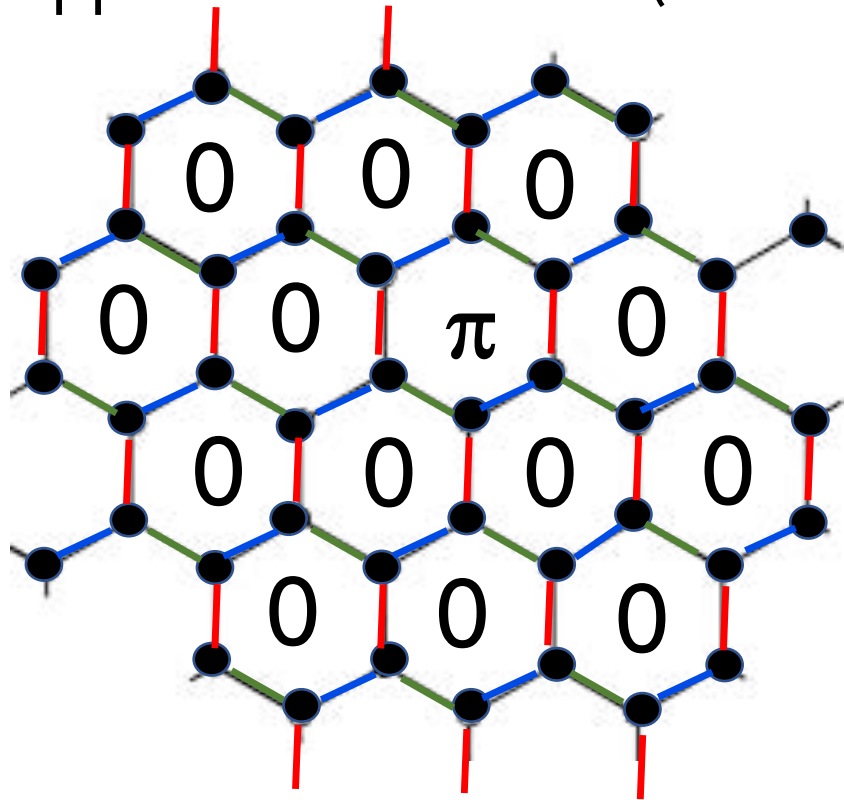


c-majorana
fermions have a
Dirac dispersion

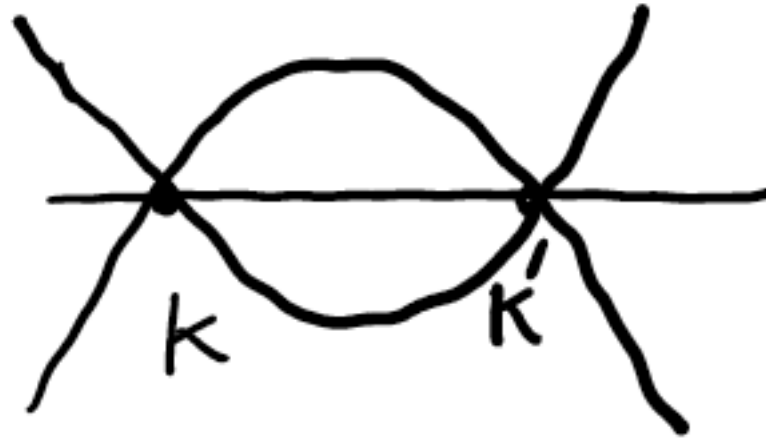


Excitations:

(1) Gapped flux excitation (visons)



(2) Gapless majorana fermions



Gapless Z_2 Quantum Spin Liquid

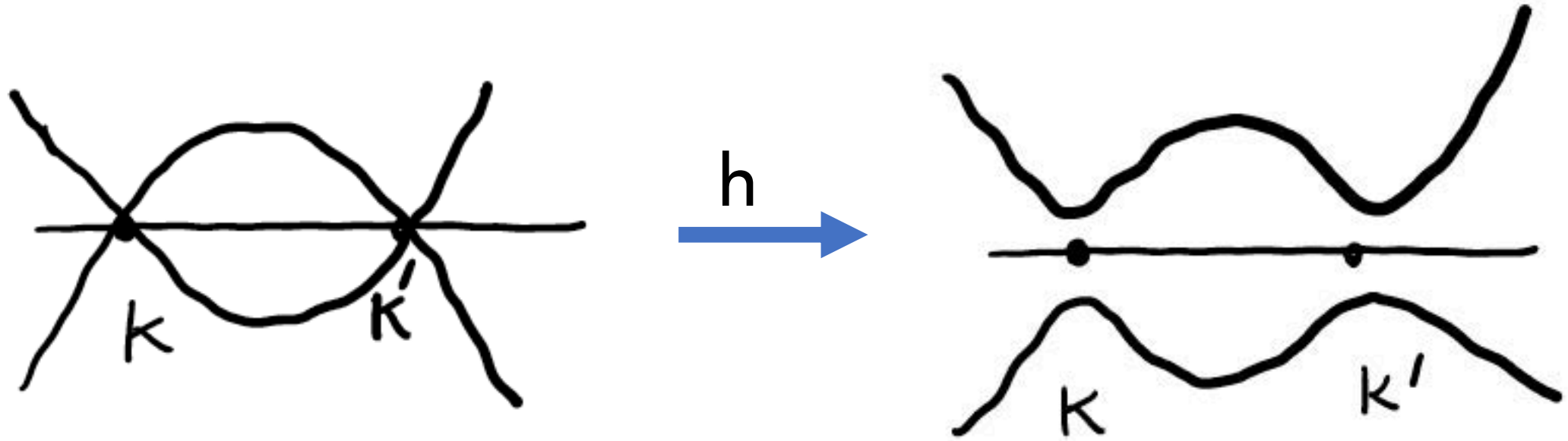
Now add a magnetic field...

$$H = H_K + h \sum_{i\alpha} S_i^\alpha$$

Focus on here:

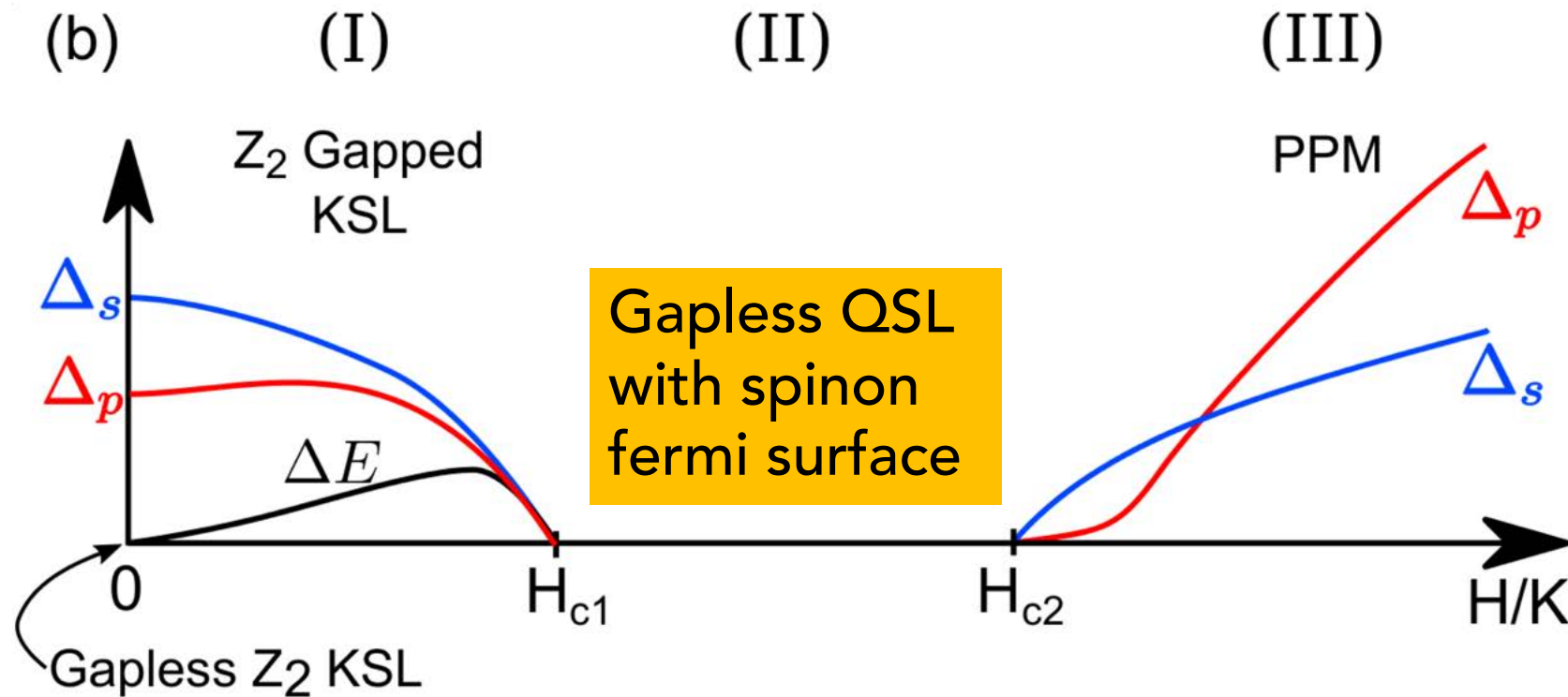
AF Kitaev interactions and field along $h \parallel [111]$

Non-abelian gapped Kitaev spin liquid:



- Majorana fermions get gapped

Our Main Results: Kitaev Model in a Magnetic Field



Δ_s : single spin flip energy
 Δ_p : 2-spin flip energy

Results based on exact diagonalization ED and Density matrix renormalization group (DMRG)



David Ronquillo

Field-orientation-dependent spin dynamics of the Kitaev honeycomb model

Phys. Rev. B 99, 140413 (2019)



Adu Vengal

Magnetic field induced intermediate gapless spin-liquid phase with a spinon Fermi surface

PNAS 201821406 (2019)



Nirav Patel



Subhasree Pradhan

Two-Magnon Bound States in the Kitaev Model in a [111]-Field

PRB 101, 180401 (2020)

Related work:

Z. Zhu, et al., Phys. Rev. B 97, 241110 (2018)

M. Gohlke, et al., Phys. Rev. B 98, 014418 (2018)

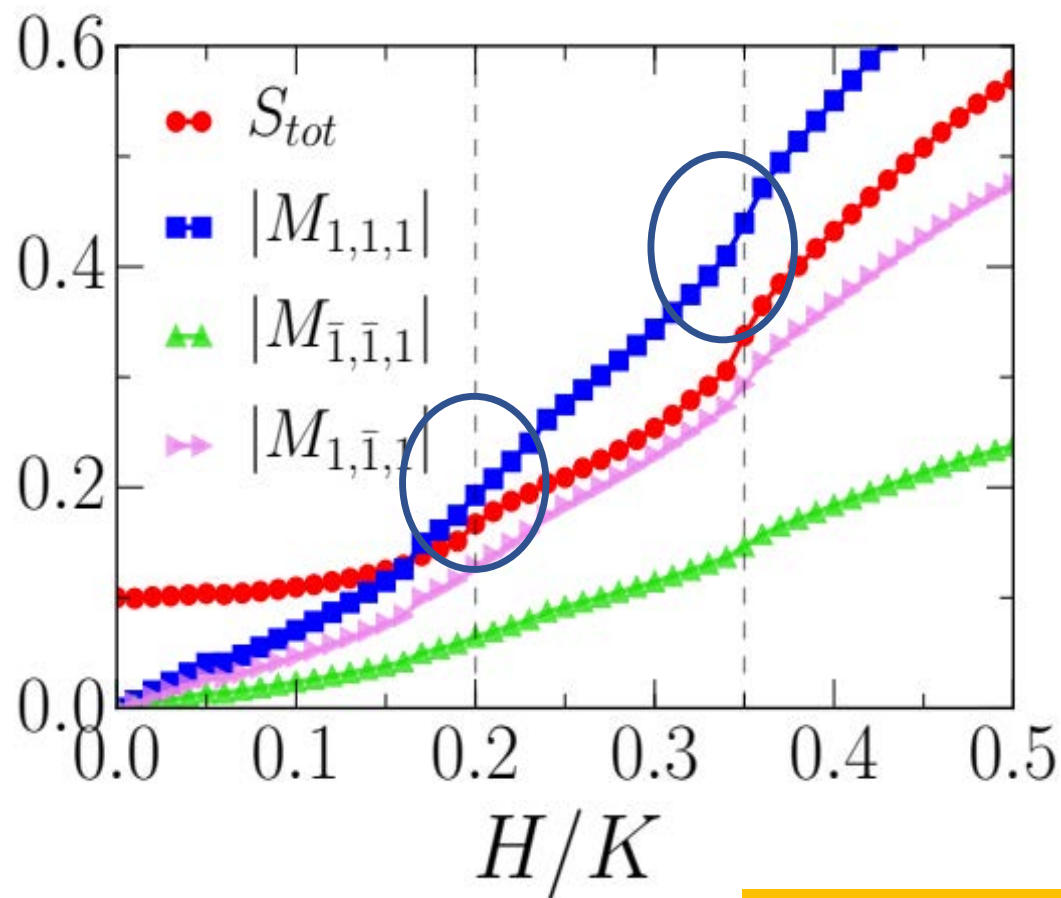
C. Hickey and S. Trebst, Nat. Comm. 10, 530 (2019)

H.C. Jiang et al. arXiv 1809.08247

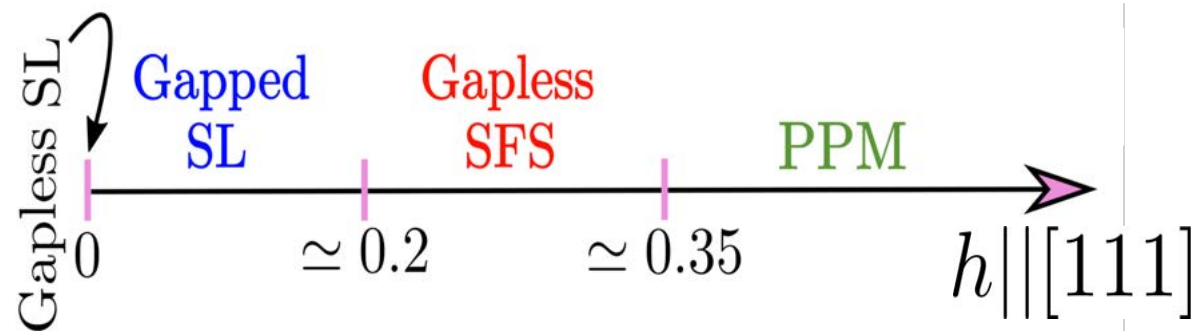
Y. Motome and J. Nasu, JPSJ 89, 012002 (2020)

Evidence for TWO phase transitions

Kitaev Model + Magnetic field: $h||[111]$ magnetization

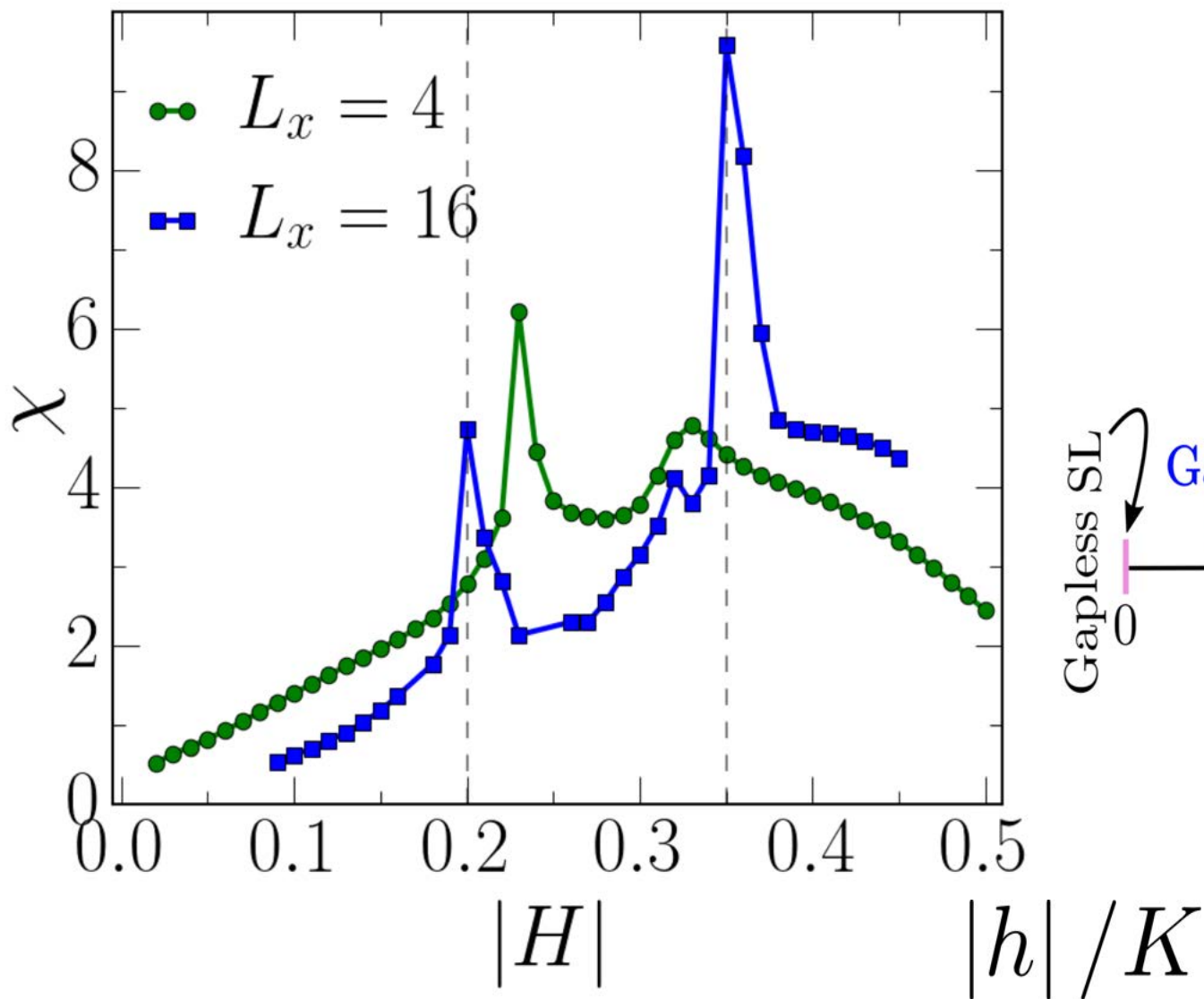


$$H = H_K + h \sum_{i\alpha} S_i^\alpha$$

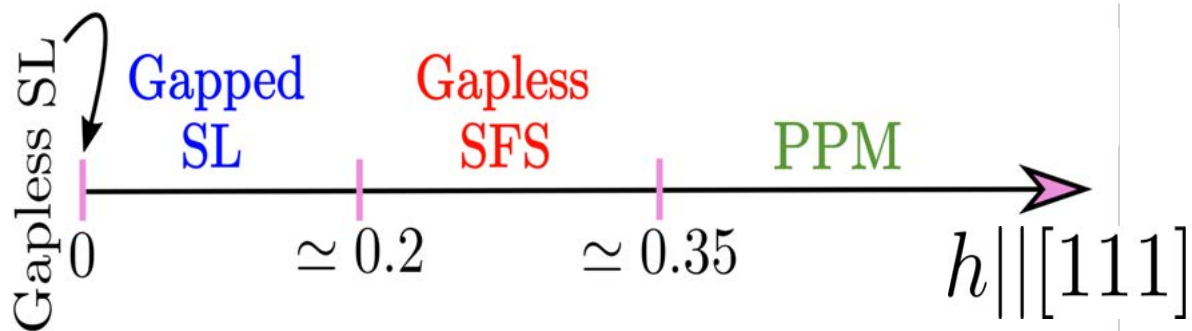


Density Matrix Renormalization Group calculations with 160 spins

Kitaev Model + Magnetic field: $h||[111]$ susceptibility

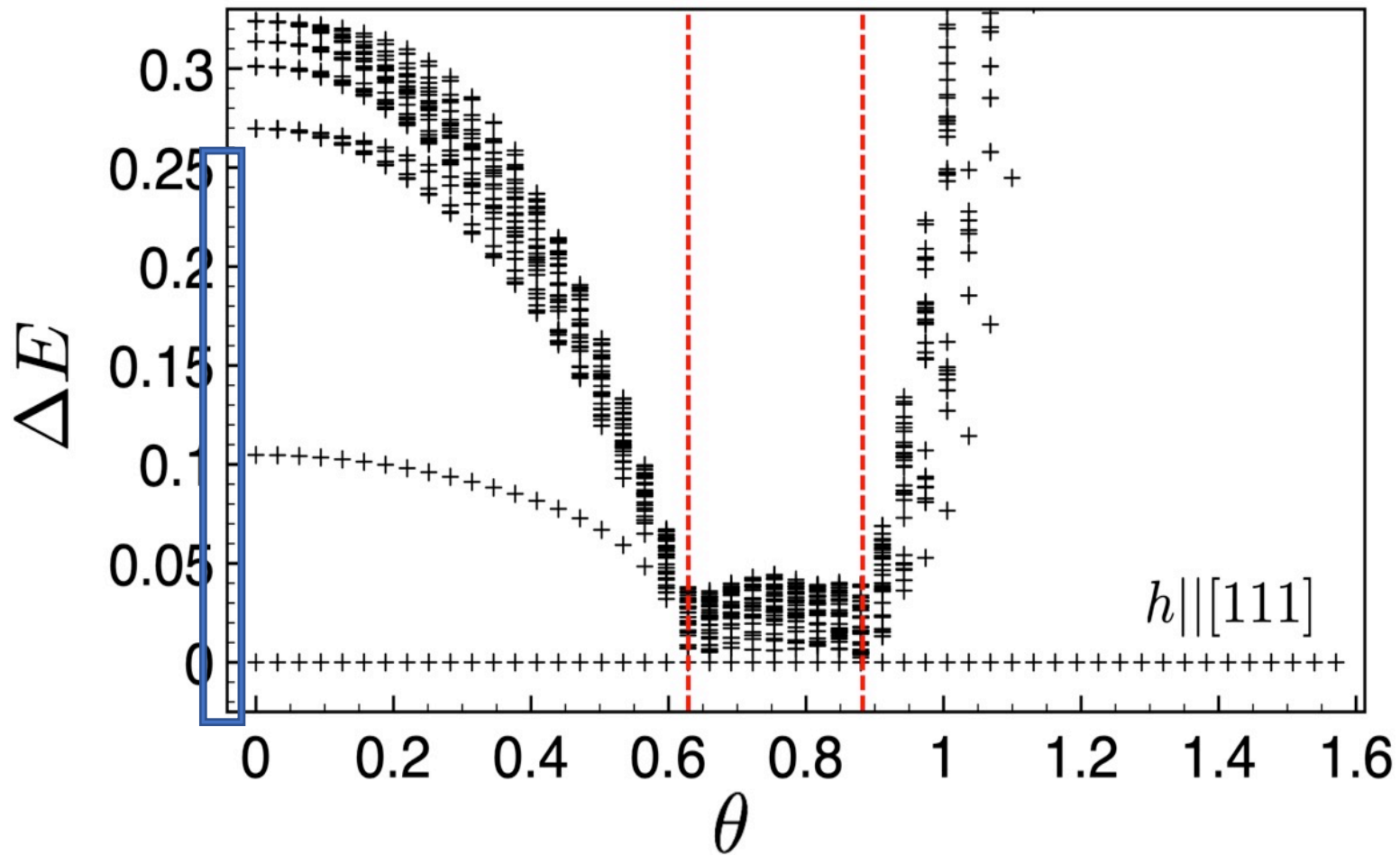
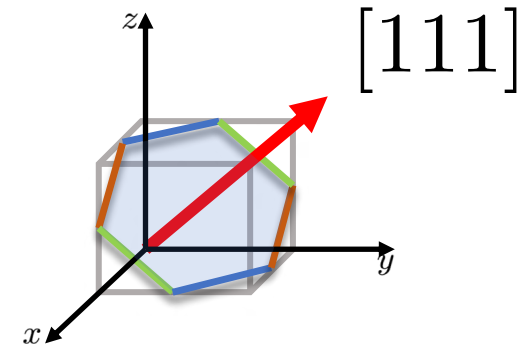


$$H = H_K + h \sum_{i\alpha} S_i^\alpha$$



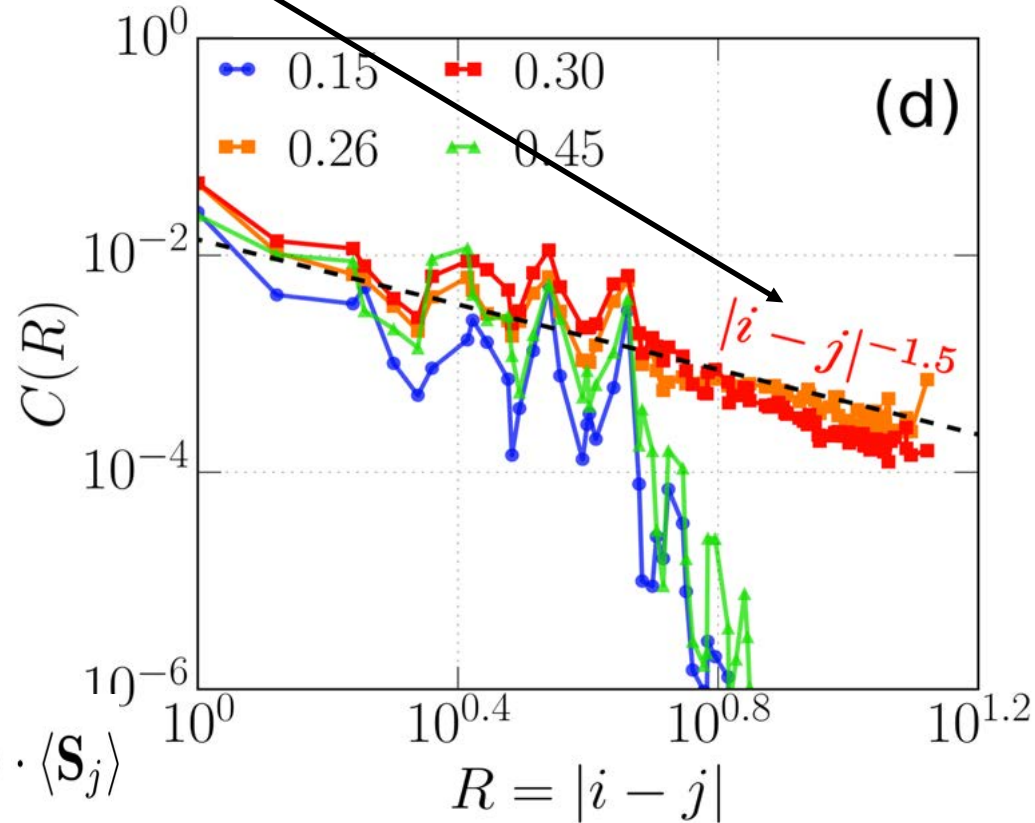
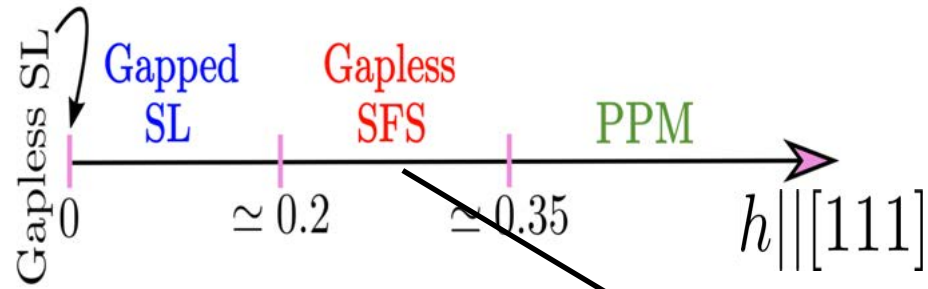
Evidence for gapless intermediate phase

Energy Spectra in a field



$$\theta \sim |\vec{h}|/K$$

Spin-Spin Correlations in Intermediate phase



Distinct power law decay of real-space spin-spin correlations!

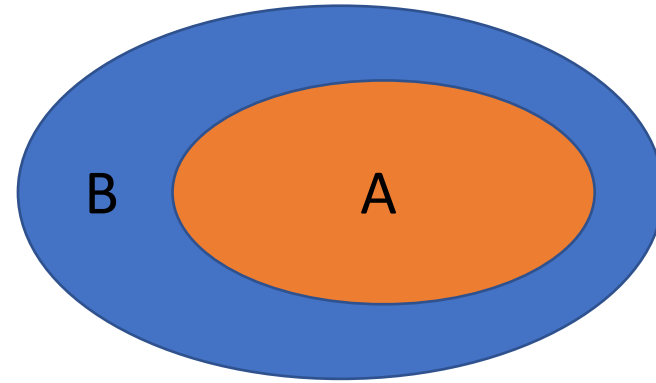
$$C(R) = \frac{1}{N_R} \sum_{R=|i-j|} \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle - \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle$$

Evidence for QSL

Entanglement Entropy for a Gapped QSL

$$\rho_A \equiv \text{Tr}_B(\rho)$$

$$S_A = -\text{Tr} \rho_A \log \rho_A$$



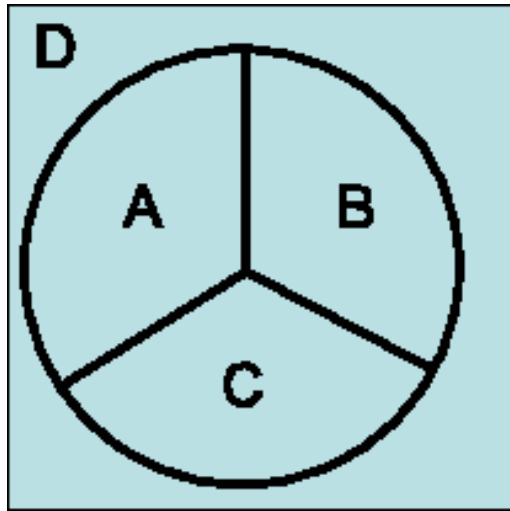
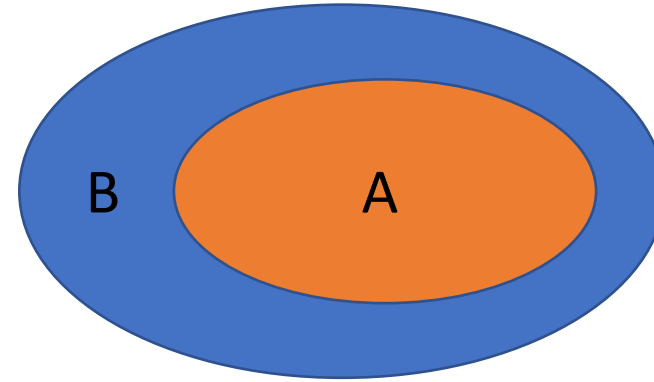
$$S_A \sim \alpha L$$

“Area Law” Entanglement in a gapped system

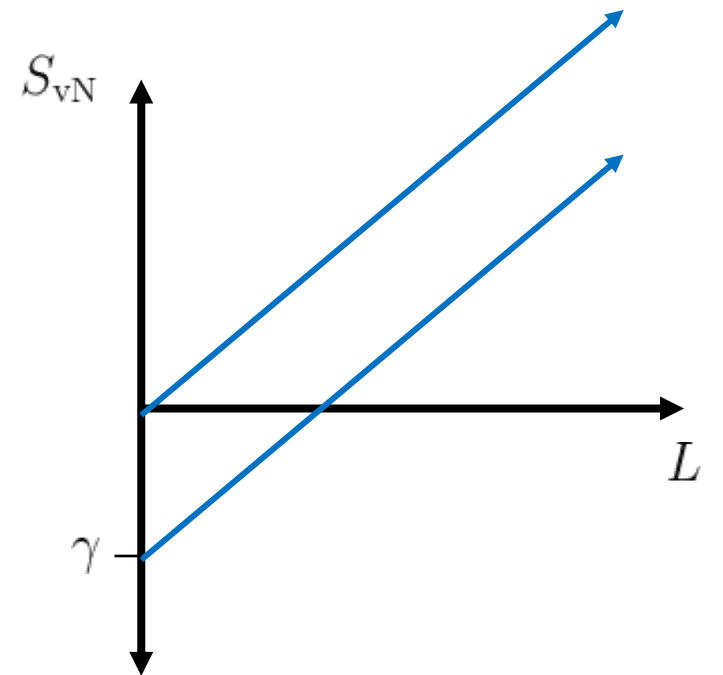
Topological Entanglement Entropy γ

$$S_A \sim \alpha L - \gamma$$

with $\gamma > 0$.

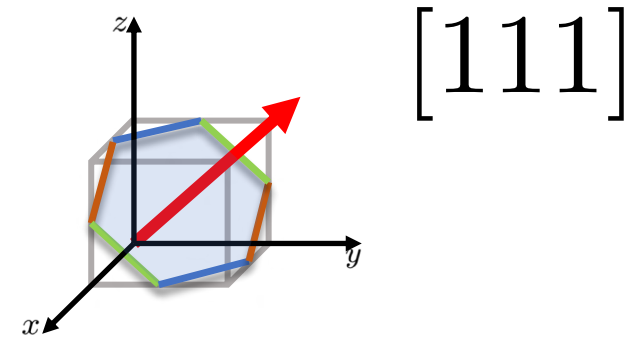
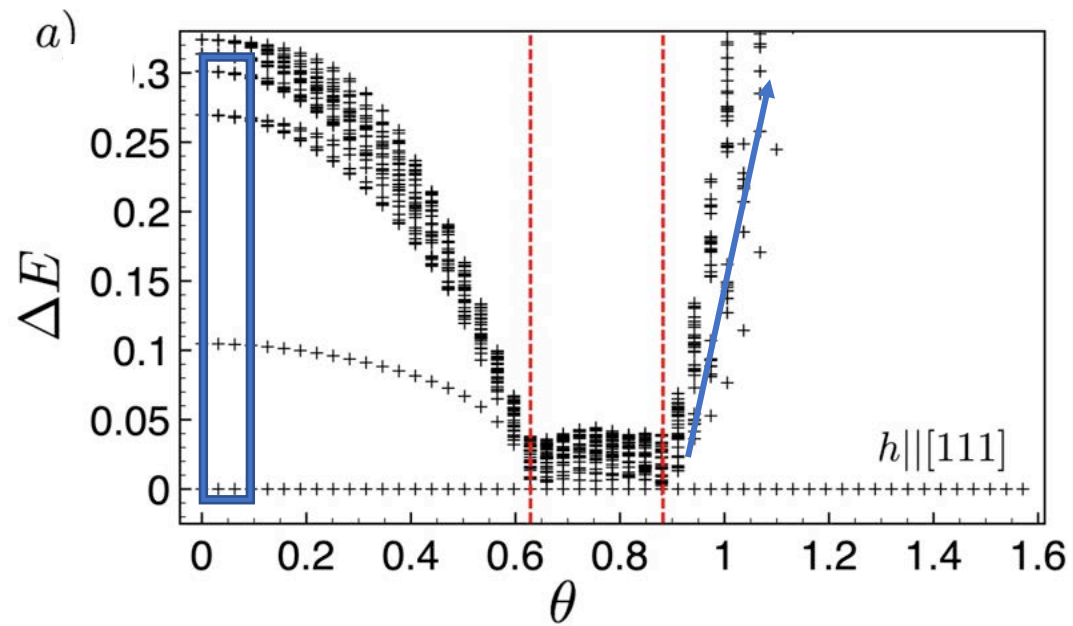


Kitaev-Preskill Construction
to extract γ



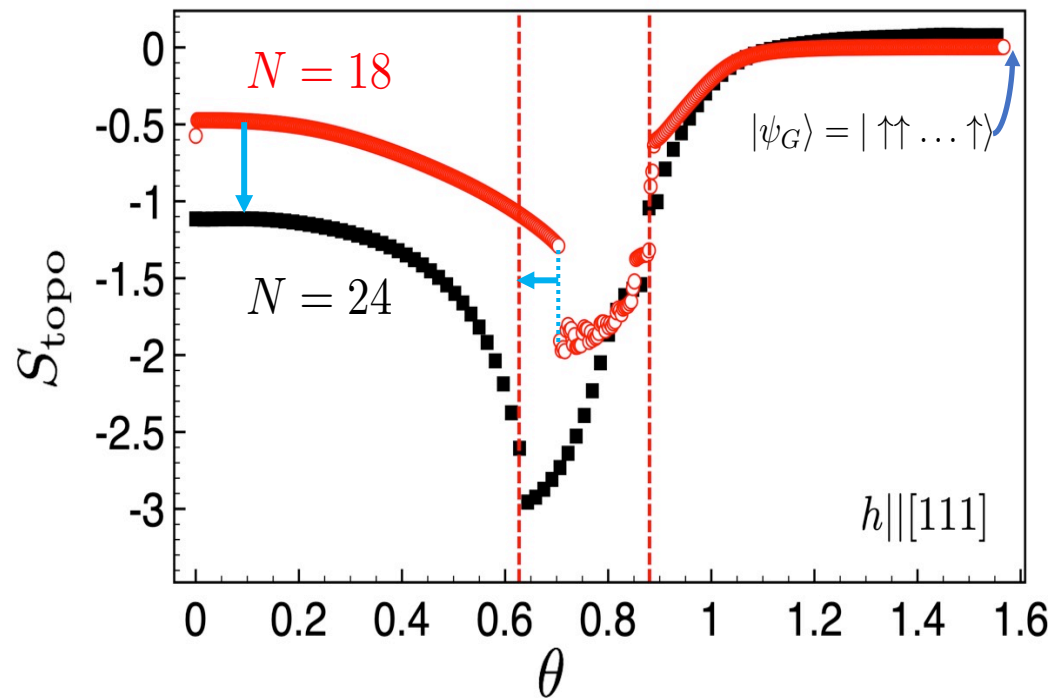
$$S_{\text{topo}} = S_A + S_B + S_C - S_{AB} - S_{BC} - S_{CA} + S_{ABC}$$

Energy Spectra

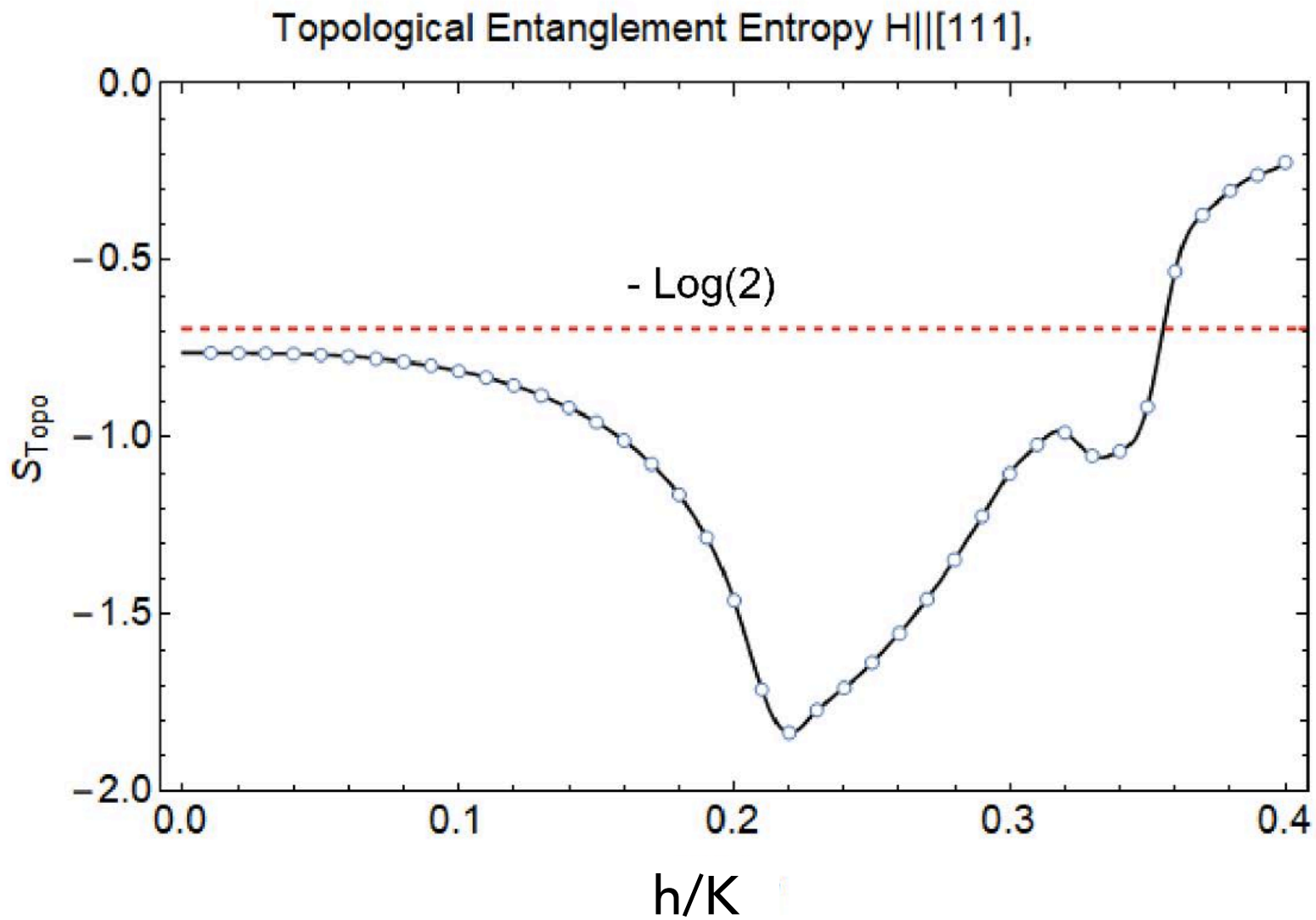


$$\theta \sim |\vec{h}|/K$$

TEE



Ronquillo, Vengal, Trivedi,
PRB 99, 140413(R) (2019)



Topological
entanglement entropy:
Information resource



Ian Osbourne

Finite γ implies the existence of topological order

→ long range entanglement structure

→ Quantum dimension of excitations

Gapped Non abelian KSL

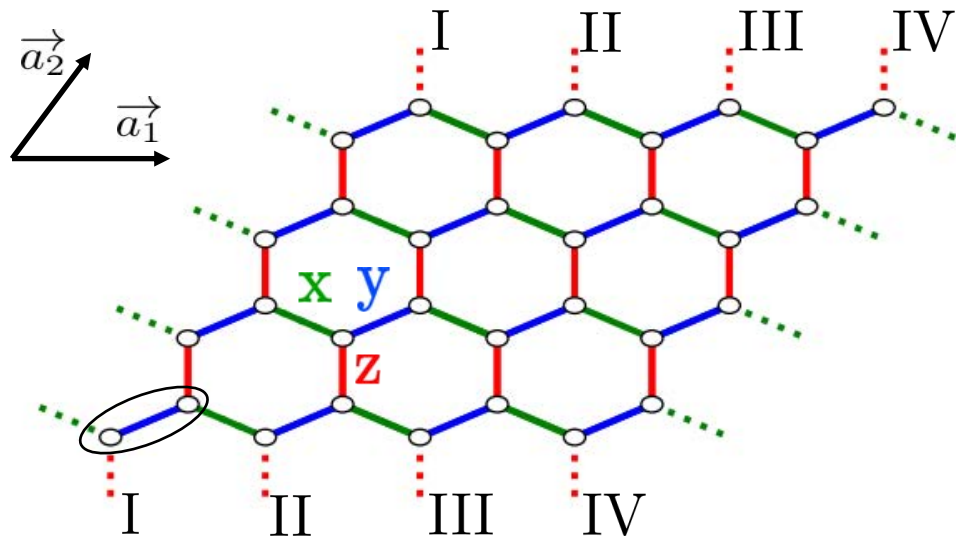
Vacuum	$1 \sim d_1 = 1$	} abelian
Fermion	$\epsilon \sim d_\epsilon = 1$	
Vortex	$v \sim d_v = \sqrt{2} > 1$	} Non abelian

$$\begin{aligned}\rightarrow D &= \sqrt{d_1^2 + d_\epsilon^2 + d_v^2} \\ &= \sqrt{1 + 1 + 2} \\ &= 2\end{aligned}$$

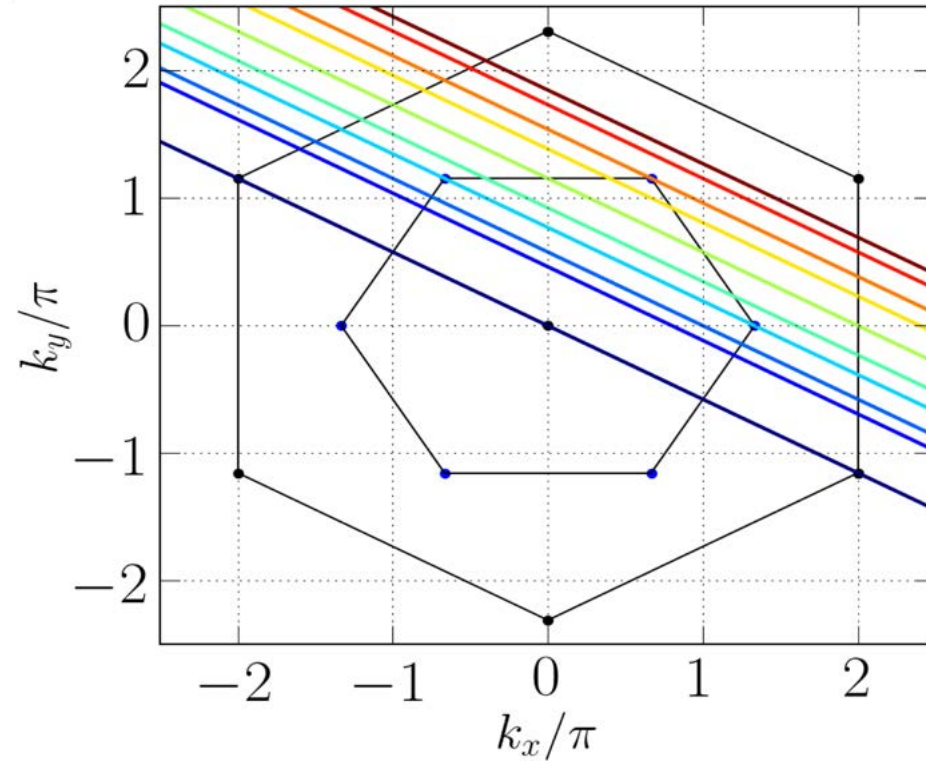
$$\rightarrow \gamma = \log D = \log 2$$

Evidence for spinon Fermi surface

Kitaev Model: spin structure factor



Brillouin Zone: Momenta cuts

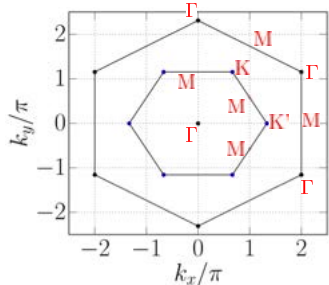
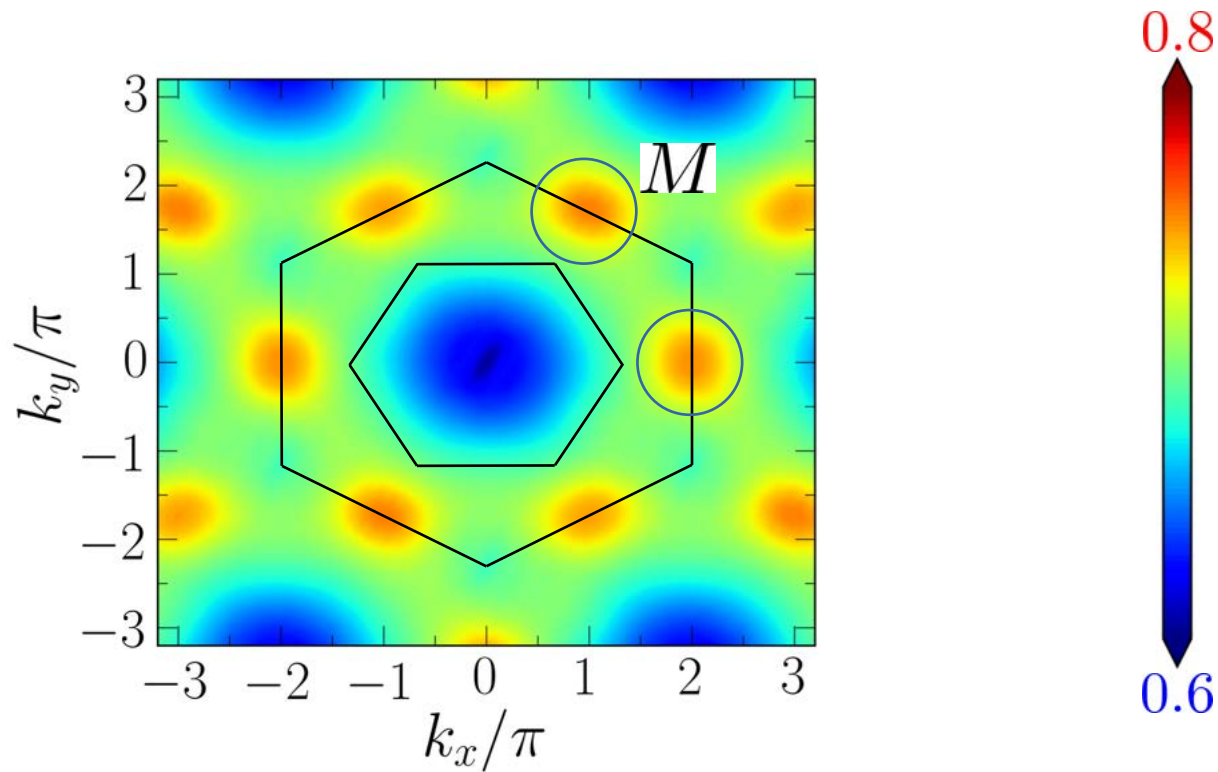


$$H_K = K \sum_{\langle ij \rangle} S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z$$

DMRG++ Open Source:

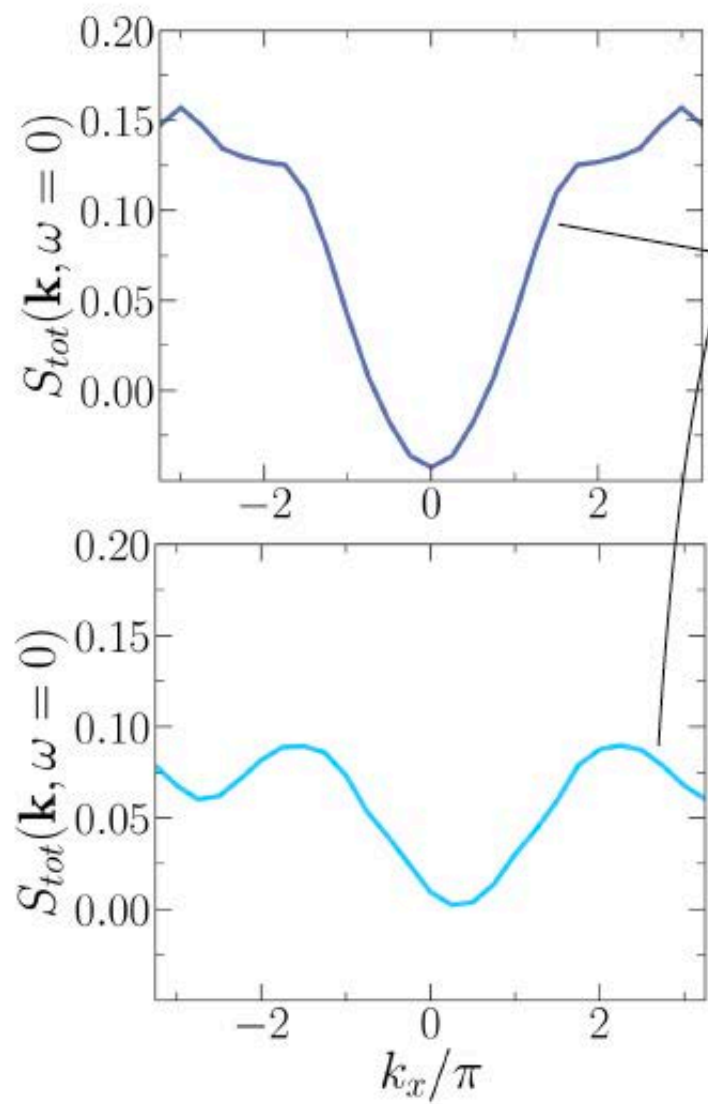
<https://web.ornl.gov/~gz1/dmrgPlusPlus/>

Structure Factor $S(\mathbf{k})$ – Intermediate phase

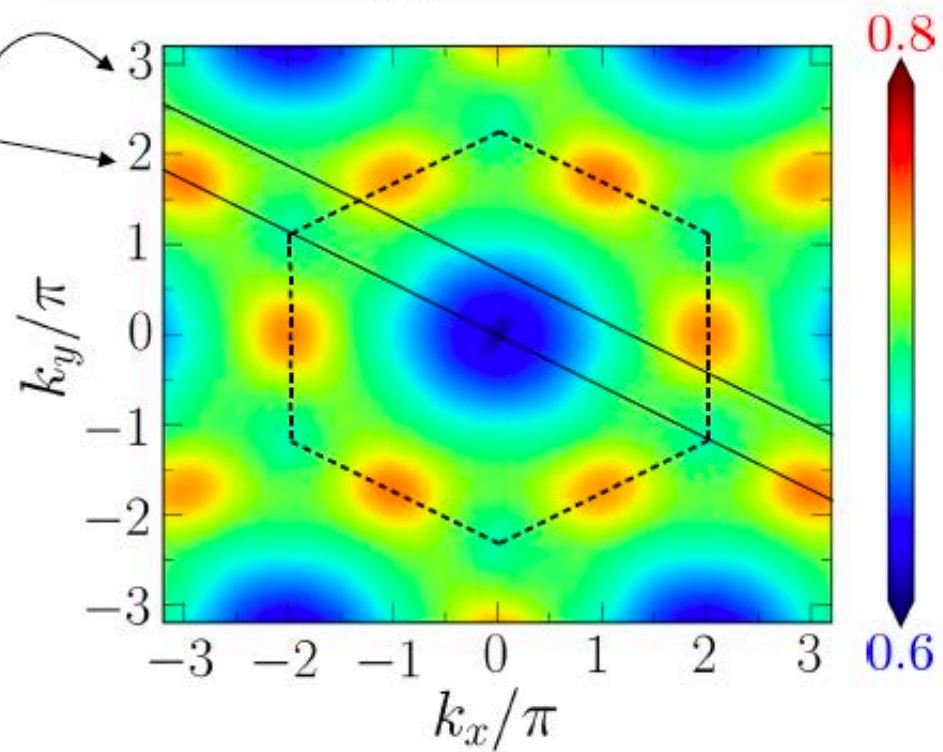


$$S_{\gamma\gamma'}(\mathbf{k}) = \frac{1}{L^2} \sum_{i \in \gamma, j \in \gamma'} e^{-i\mathbf{k} \cdot \mathbf{r}_{ij}} [\langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle - \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle]$$

$$S_{tot} = \sum_{\gamma\gamma'} S_{\gamma\gamma'} \quad S(\mathbf{k}) = \begin{bmatrix} S_{AA} & S_{AB} \\ S_{BA} & S_{BB} \end{bmatrix}$$

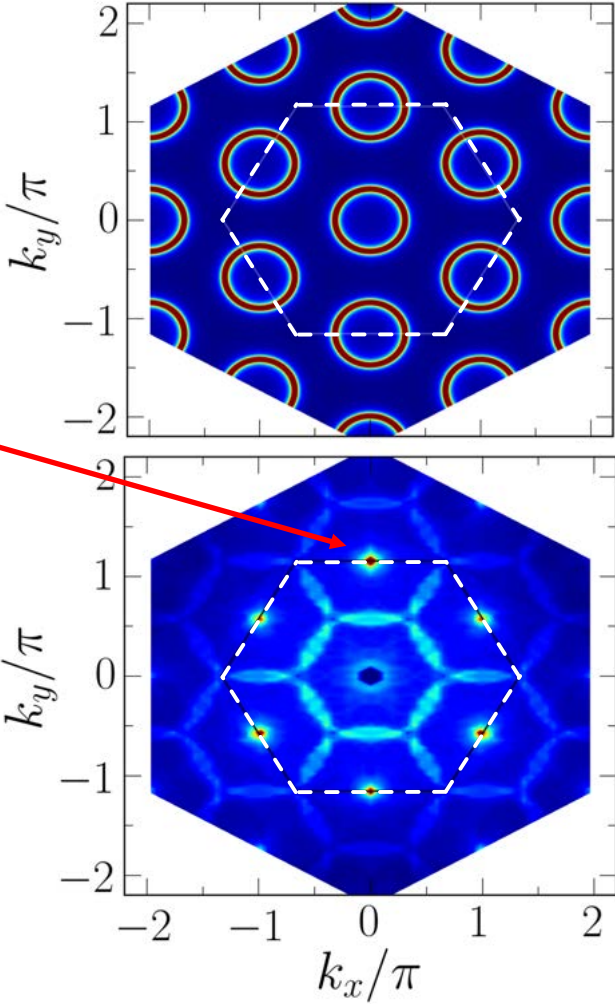
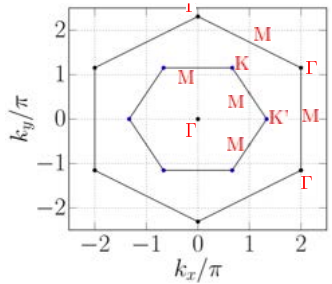
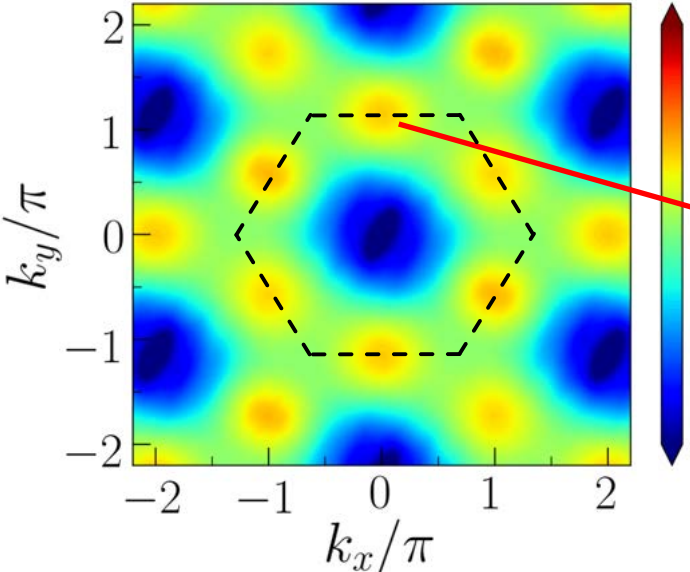


$$S_{tot}(\mathbf{k}) \propto \int_0^\infty S_{tot}(\mathbf{k}, \omega) d\omega$$



$S(\mathbf{k}) \rightarrow$ spinon Fermi surface

DMRG Results

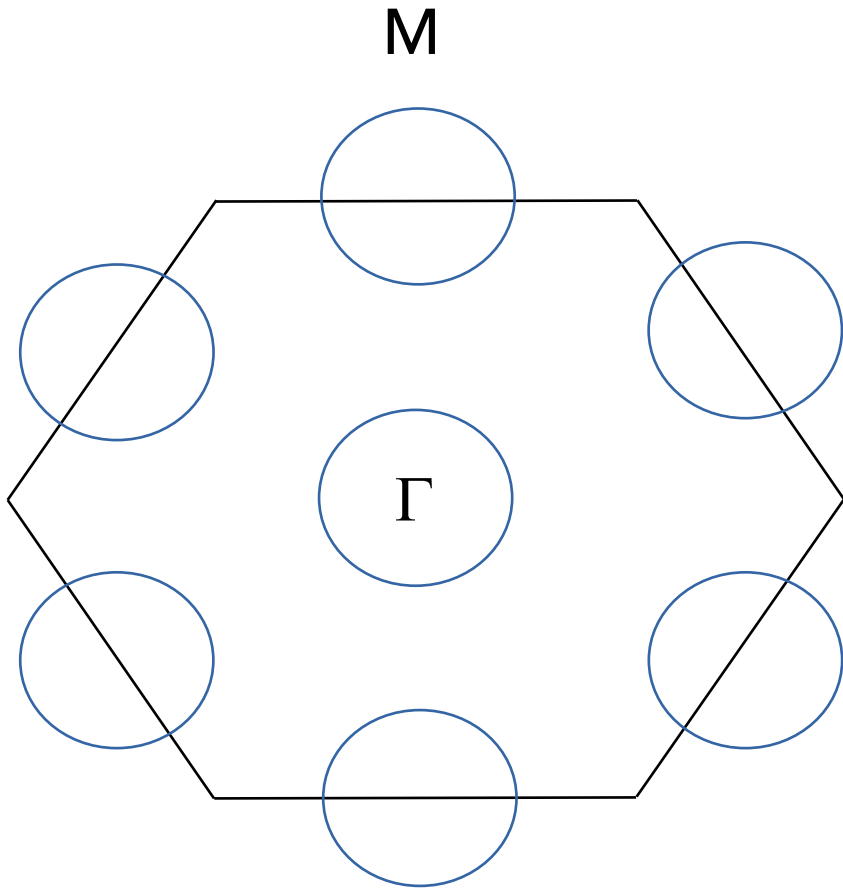


$A(\mathbf{k}, \omega = 0)$
Conjectured
Fermi
surface

$\omega = 0$

$$\mathfrak{S}(\mathbf{k}) = \sum_{\mathbf{q}} A(\mathbf{k} + \mathbf{q})A(\mathbf{q})$$

"Fermi Surface" of spinons in a Mott insulator!



Test using VMC
on projected
wave function

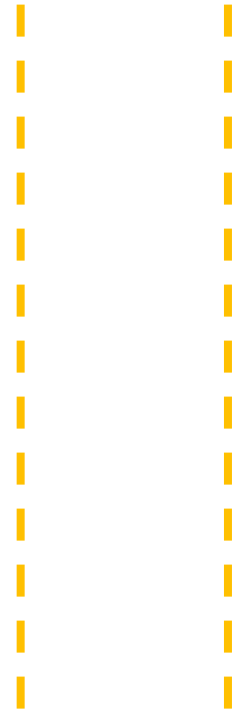
Singularities at all the M points related
by C_3 Rotations and Translations

Roadmap

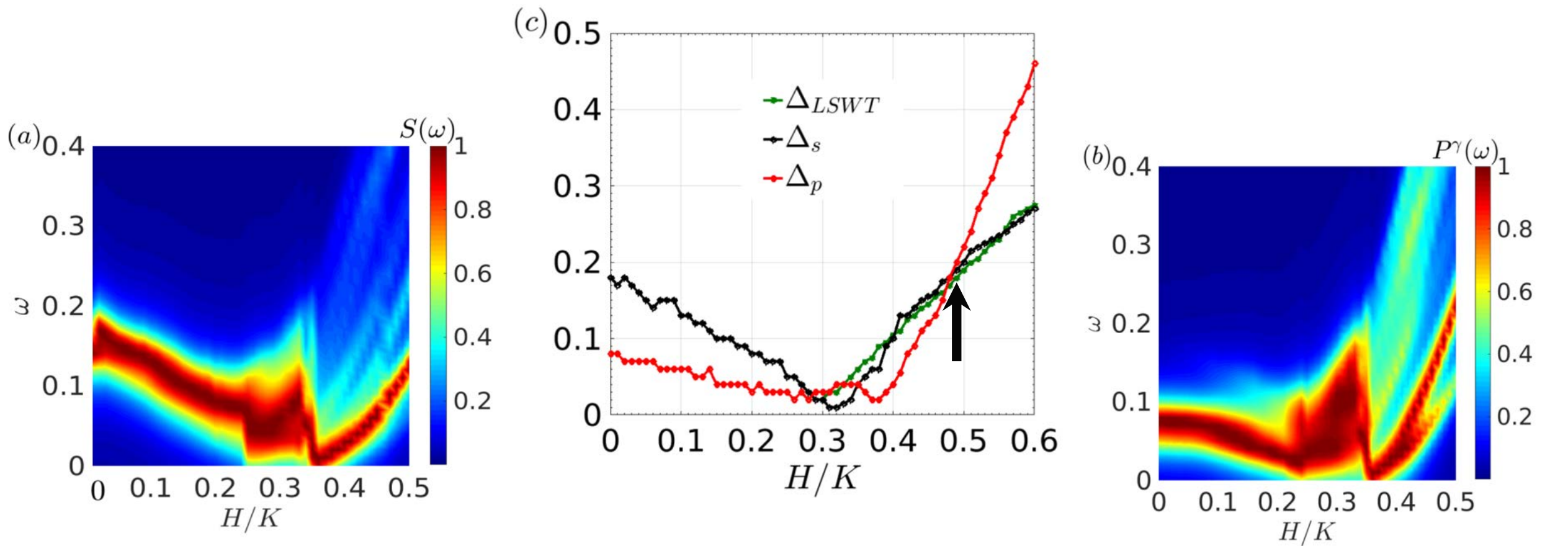
- Big picture
- 2D: Kitaev Model
 - ❖ Discovery of a new gapless QSL with a spinon Fermi surface
 - ❖ Spectrum of 1 spin flip and 2 spin flip excitations
- QSL Materials
- How do you detect a QSL?
- Going forward....



1-spin flip spectral functions

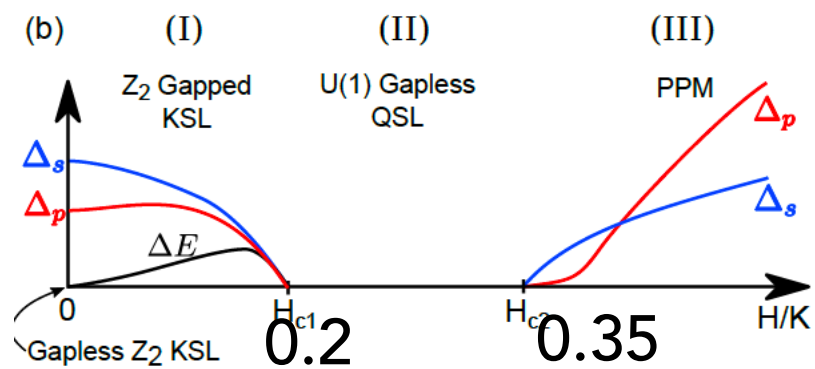
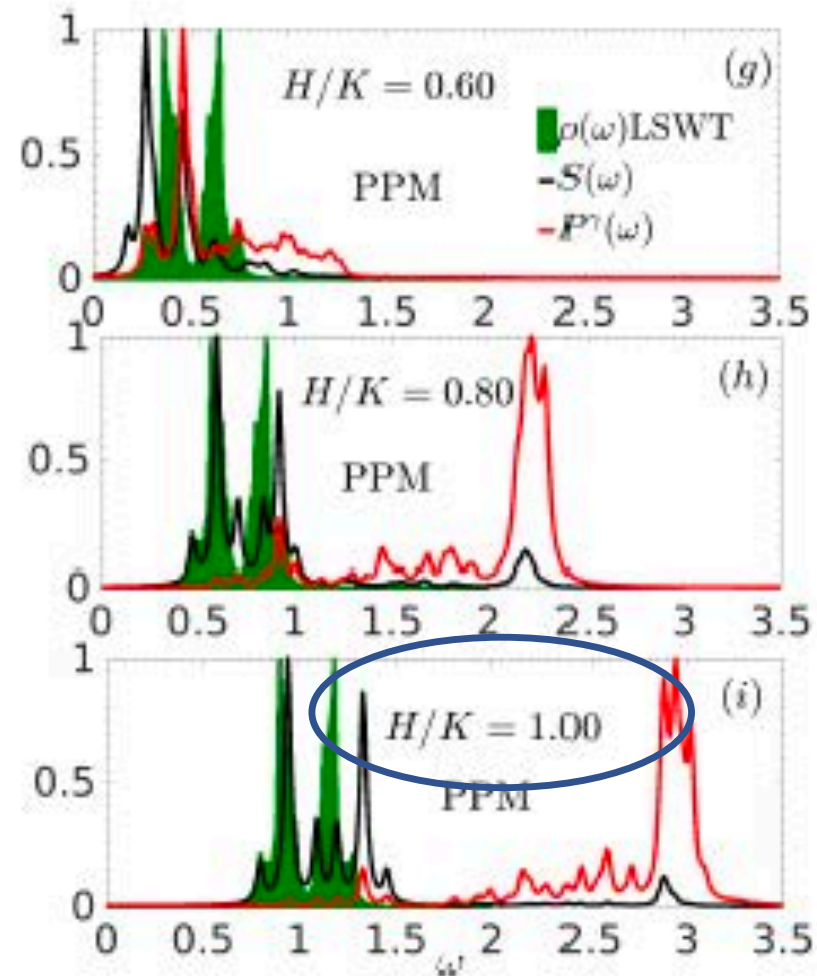
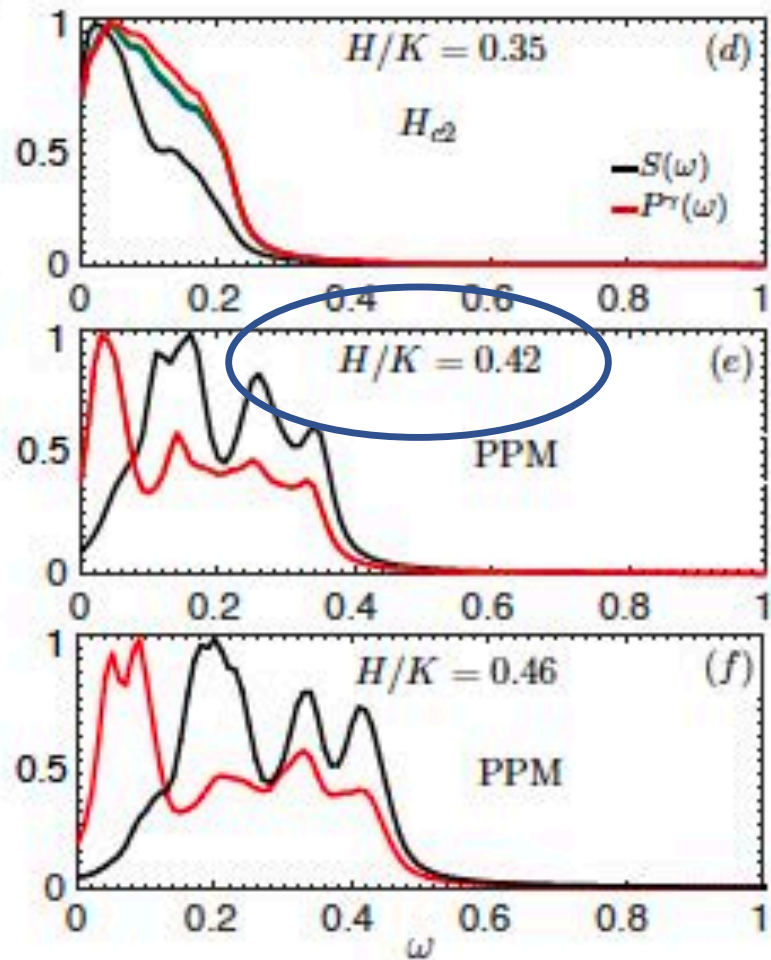
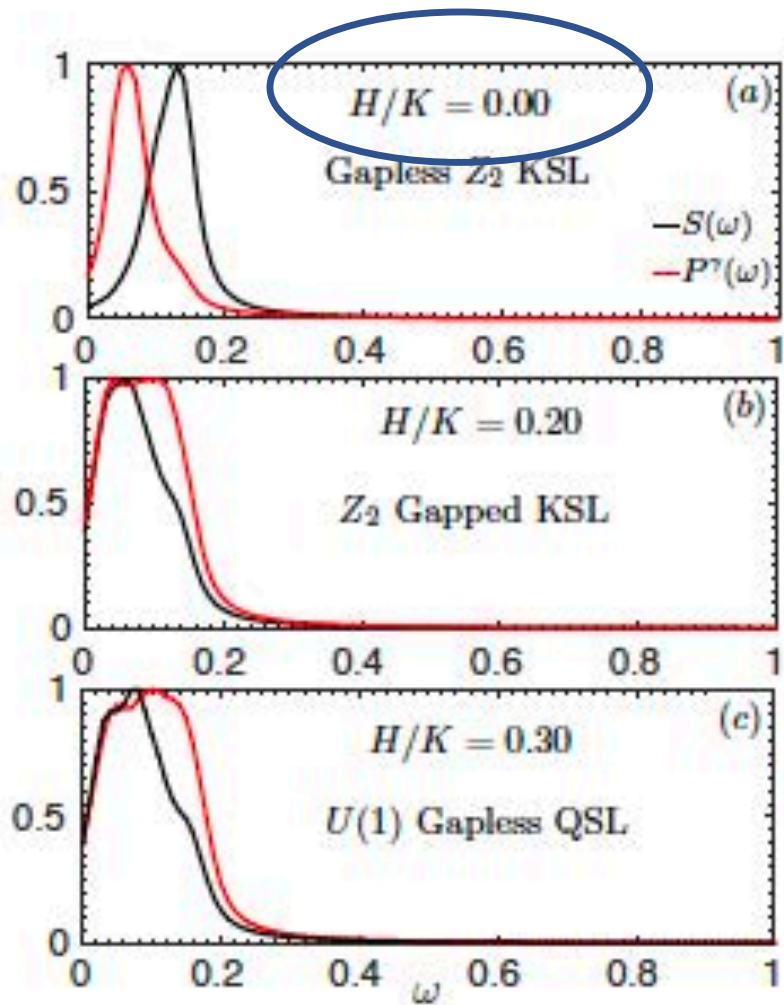


1-spin flip and 2 spin-flip spectral functions



$$S(\omega) = \frac{-1}{N\pi} \text{Im} \left[\sum_{\substack{m \neq 0, i \\ \alpha = +, -, z}} \frac{|\langle 0 | S_i^\alpha | m \rangle|^2}{\omega + E_0 - E_m + i\eta} \right]$$

$$P^\gamma(\omega) = \frac{-1}{N\pi} \text{Im} \left[\sum_{\substack{m \neq 0, i \\ \alpha = +, -, z}} \frac{|\langle 0 | S_i^\alpha S_{i+\gamma}^\alpha | m \rangle|^2}{\omega + E_0 - E_m + i\eta} \right]$$



Roadmap

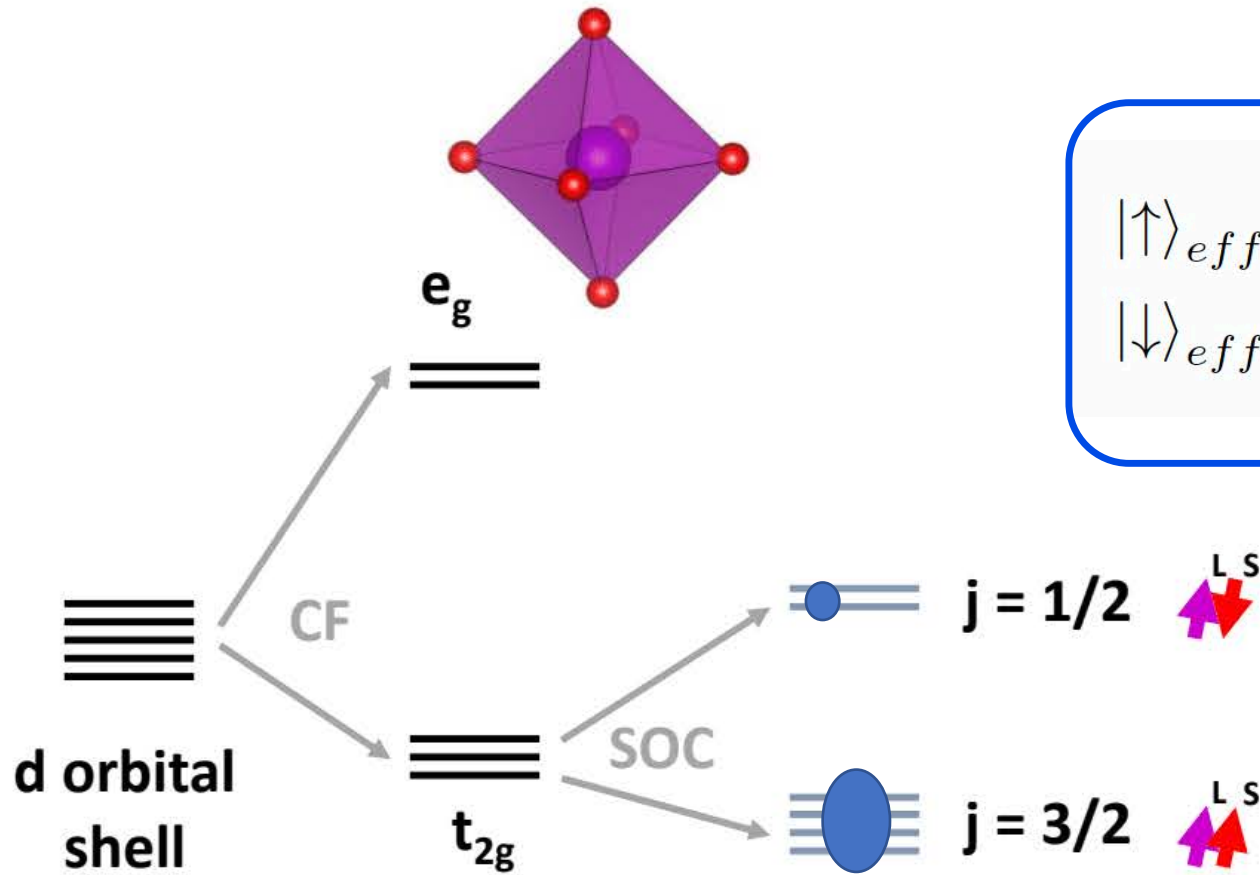
- Big picture
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Main ingredients for Kitaev materials

Spin-Orbit coupled Mott Insulators

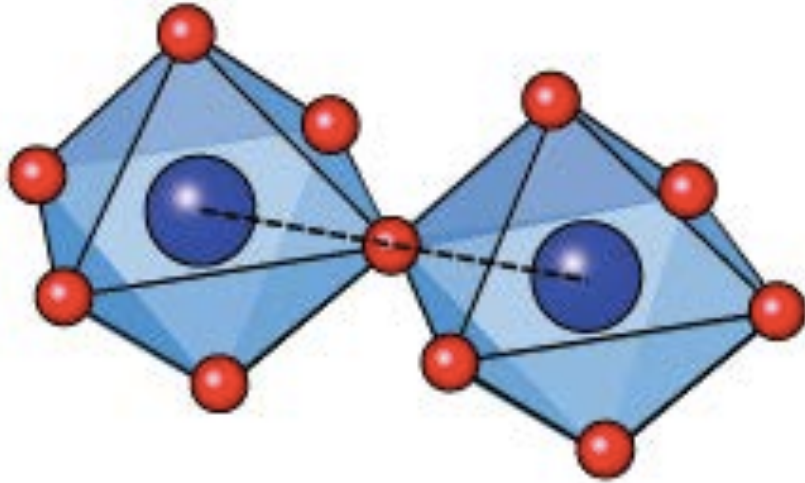
Mo	Tc	Ru	Rh
W	Re	Os	Ir



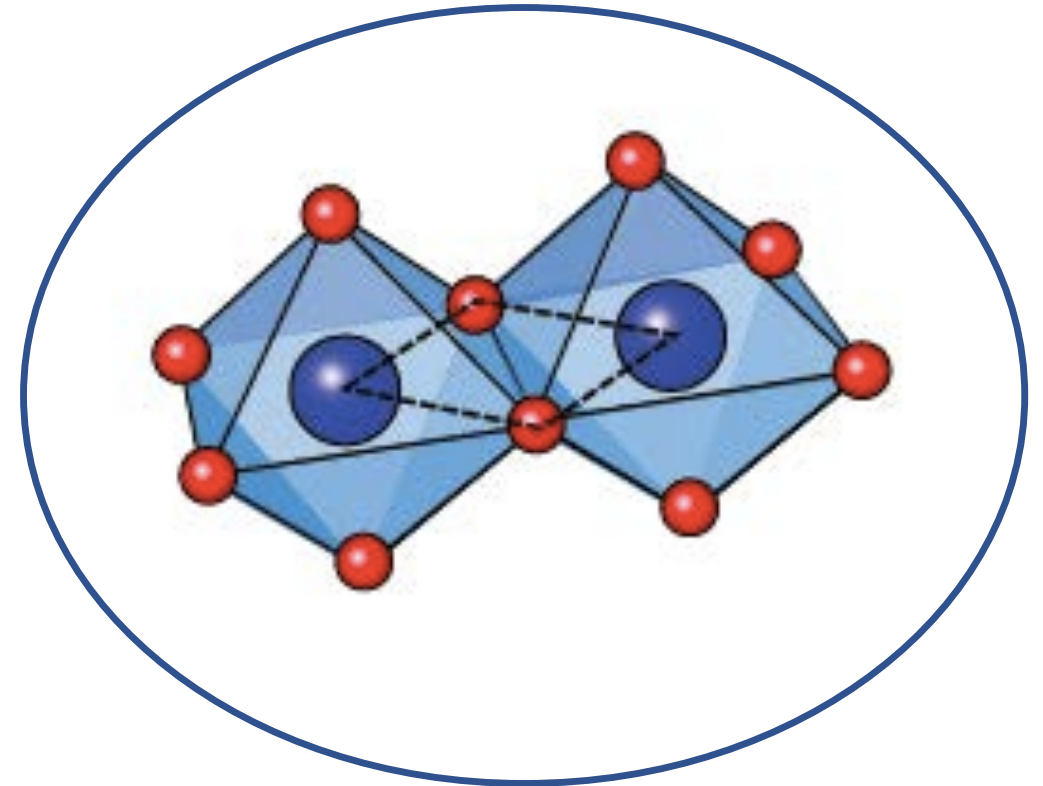
$$|\uparrow\rangle_{eff} \sim i |zx, \downarrow\rangle + |yz, \downarrow\rangle + |xy, \uparrow\rangle$$

$$|\downarrow\rangle_{eff} \sim -i |zx, \uparrow\rangle + |yz, \uparrow\rangle - |xy, \downarrow\rangle$$

I: corner-sharing



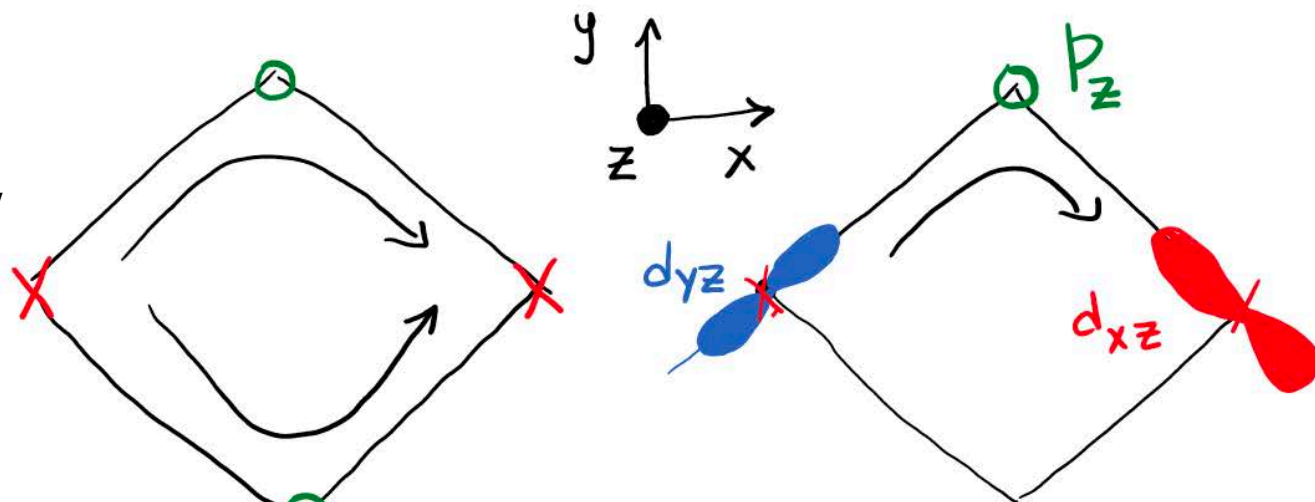
II: edge-sharing



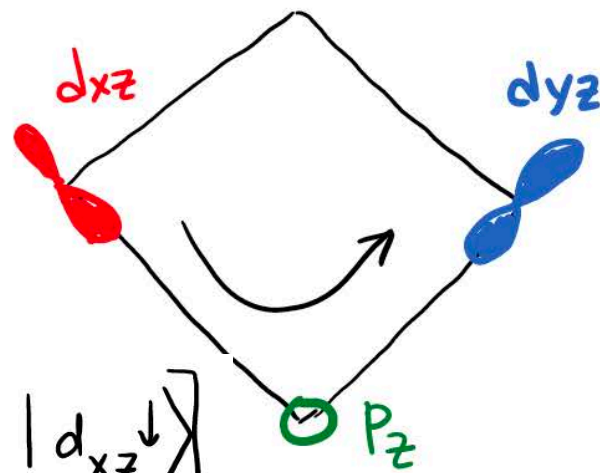
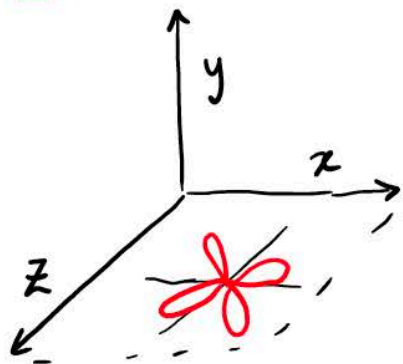
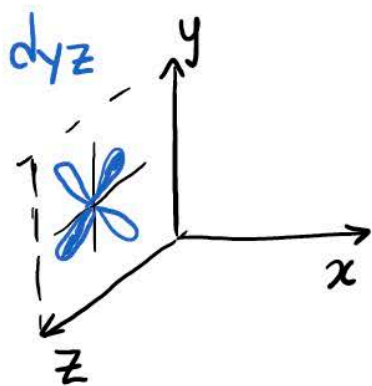
Destructive interference between the two pathways
generates bond-dependent interactions



G. Jackeli and G. Khaliullin,
PRL 102, 017205 (2009)



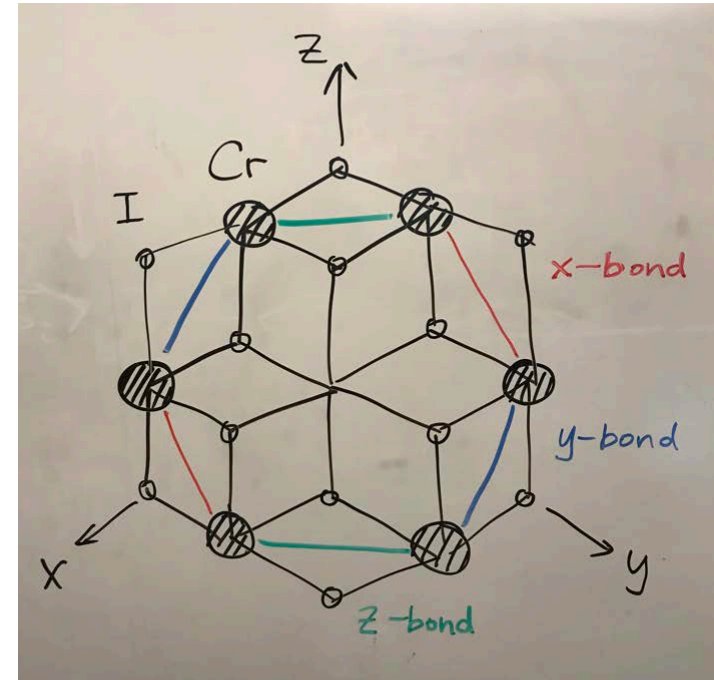
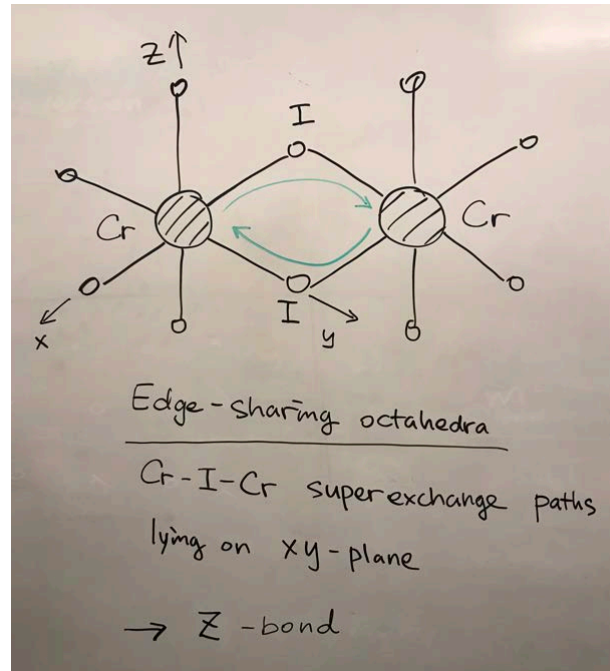
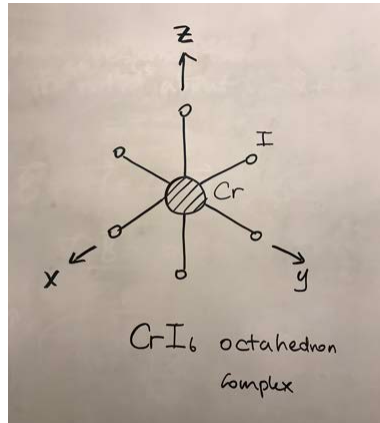
hopping via p_z
orbital on ligand
changes $d_{yz} \rightarrow d_{xz}$



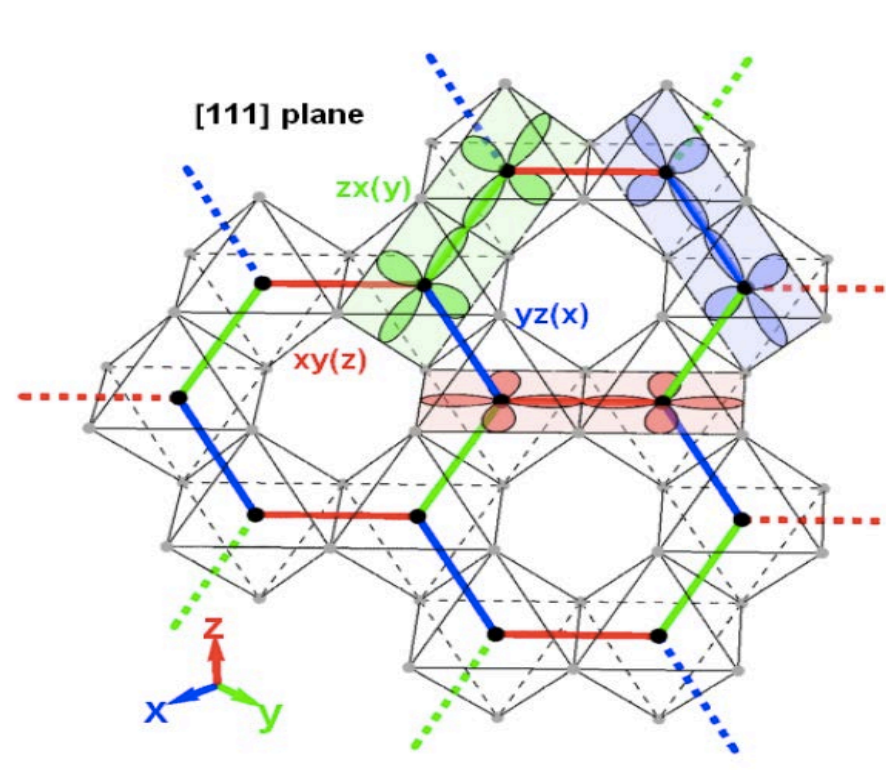
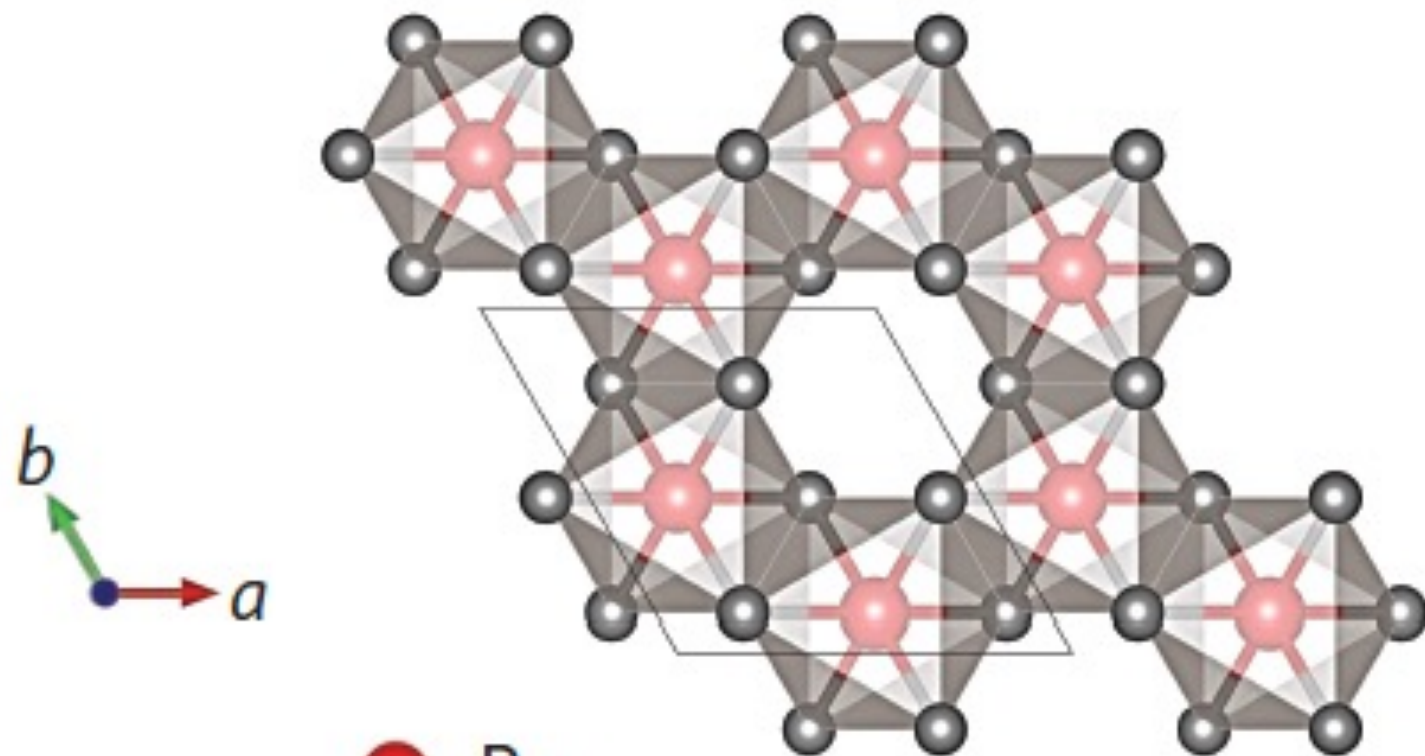
$d_{xz} \rightarrow d_{yz}$

$$|J_z = +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}} \left[|d_{xy}\uparrow\rangle + |d_{yz}\downarrow\rangle + i |d_{xz}\downarrow\rangle \right]$$

Crystal structure of α - RuCl_3 → candidate Kitaev material



α -RuCl₃

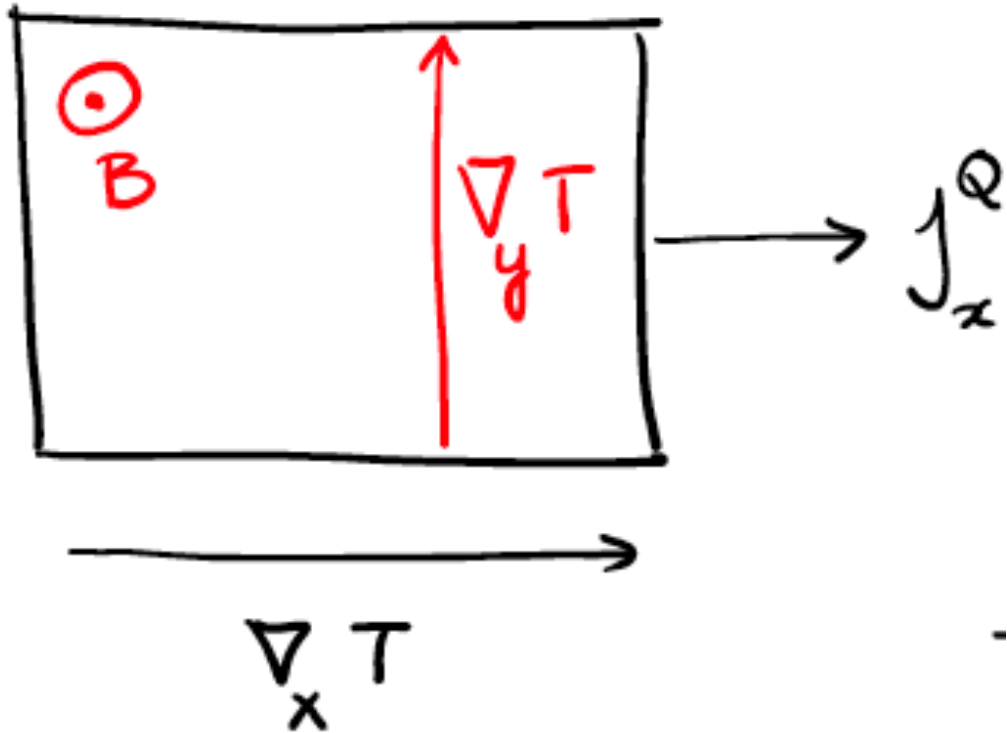


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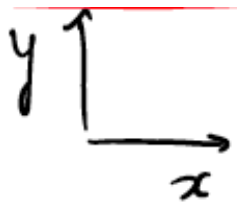


Thermal Hall Conductivity



$$\vec{J}^Q = \vec{\kappa} (-\vec{\nabla} T)$$

$$\vec{\kappa} = \begin{pmatrix} \kappa_{xx} & \boxed{\kappa_{xy}} \\ -\kappa_{xy} & \kappa_{xx} \end{pmatrix}$$

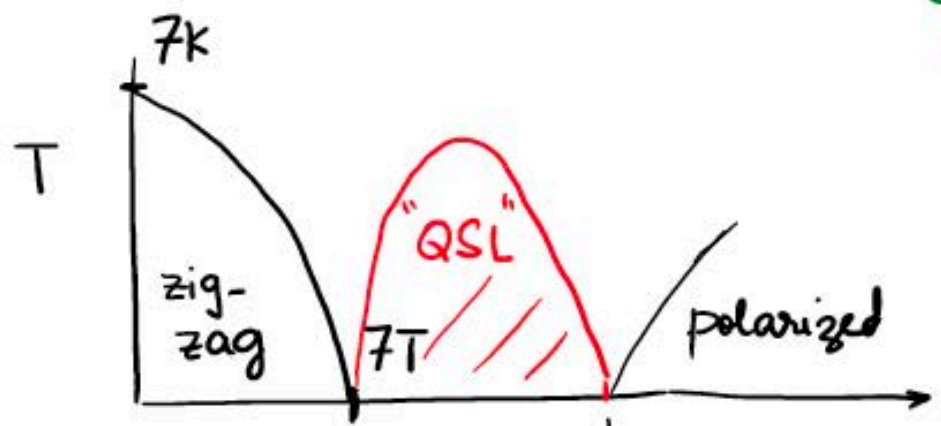


$$J_y^Q = 0$$

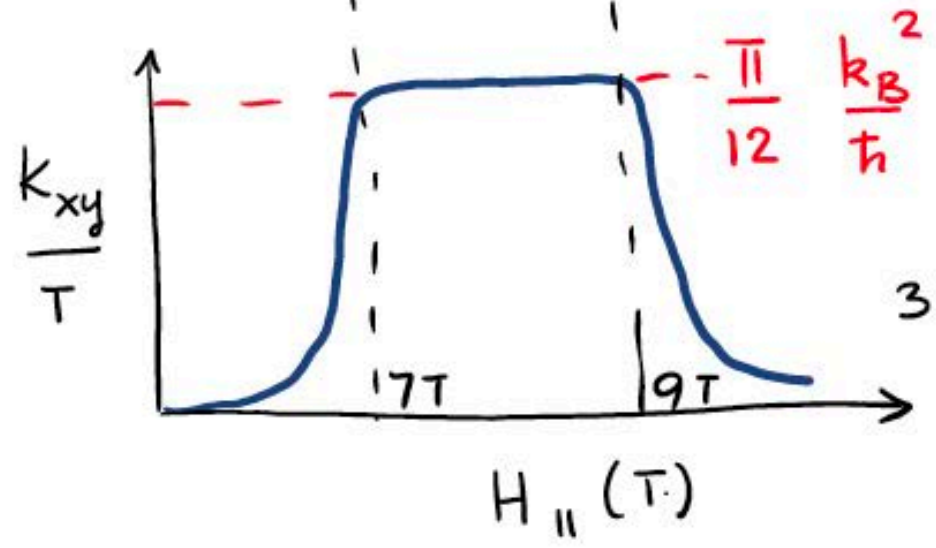
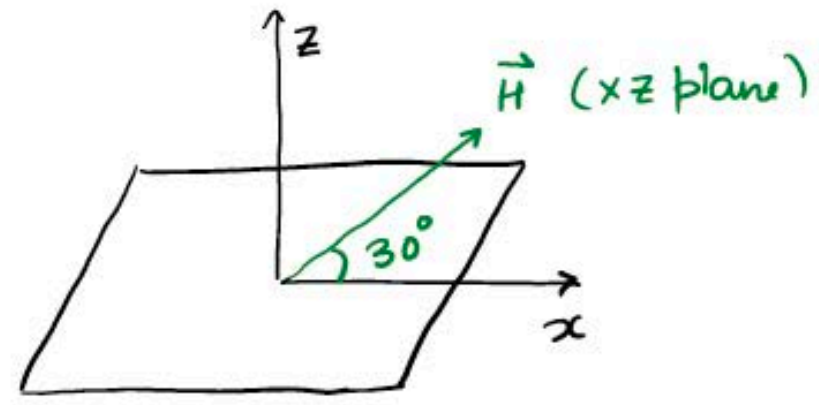
(impose condition)

Material : $\alpha\text{-RuCl}_3 \approx \text{Kitaev Magnet}$

$J_K \approx \underline{80 \text{ K}}$



For $S = 1/2$
 1 Tesla \approx 1 K

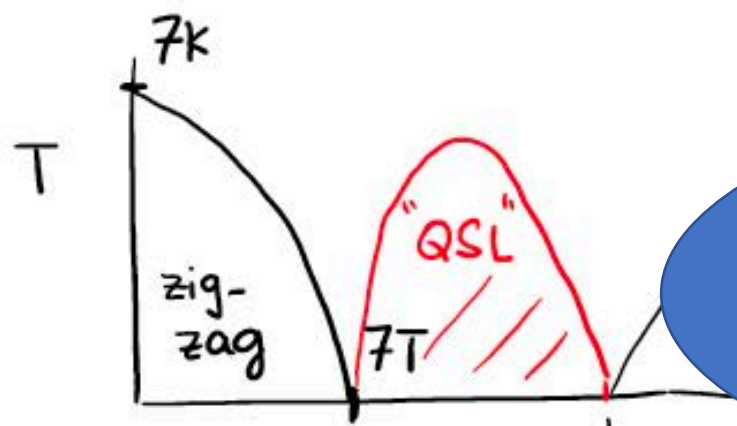


$$K_{xy} = \frac{1}{2} K_Q$$

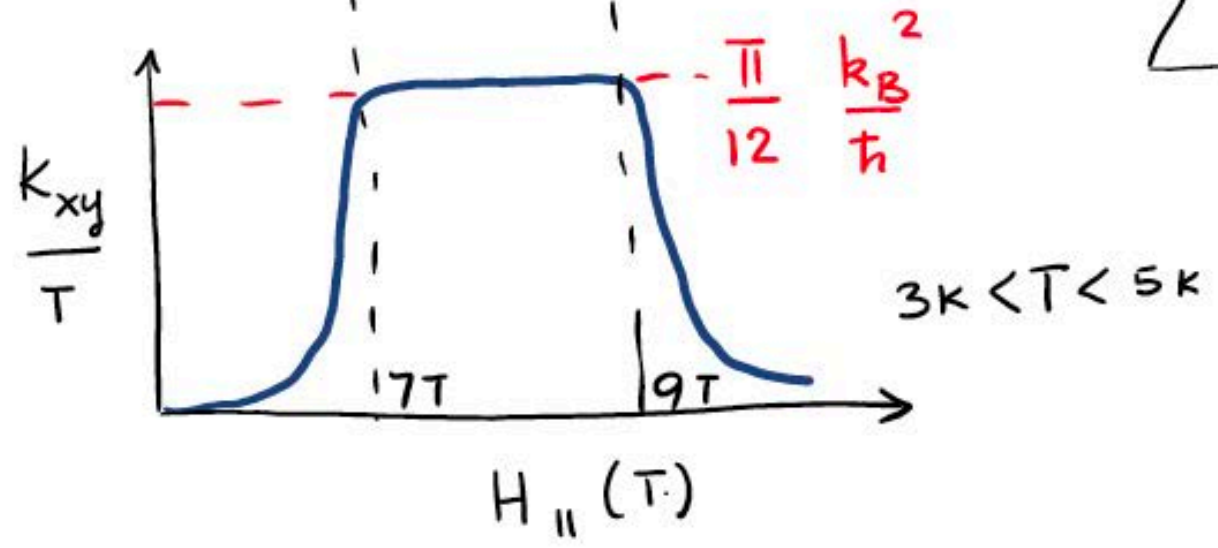
$$K_Q = \frac{\pi^2}{6} \frac{k_B^2}{h}$$

Material : α -RuCl₃ \approx Kitaev Magnet

$$J_K \approx \underline{80 \text{ K}}$$



What object carries heat?
Electron? Spin?
Majorana edge mode

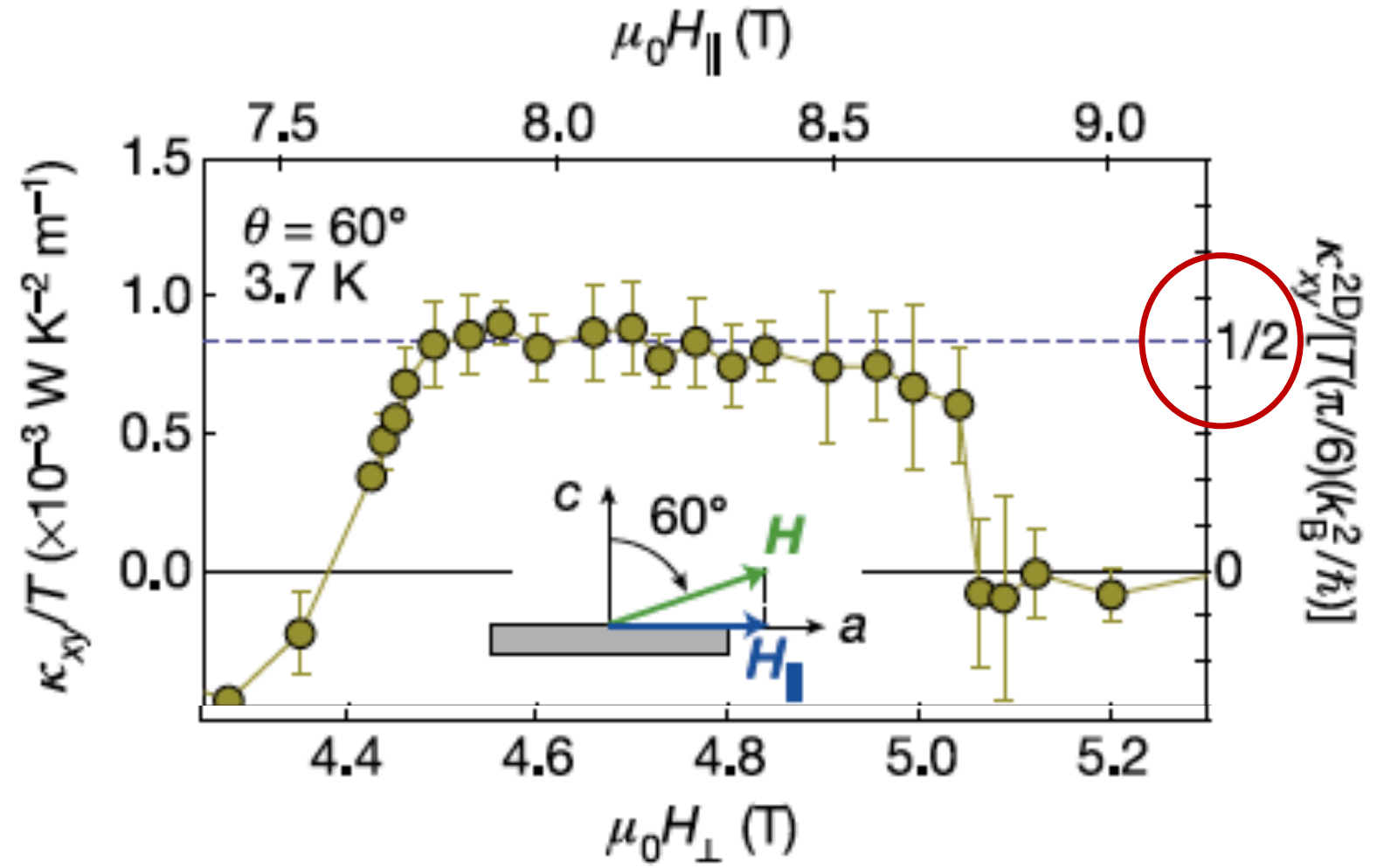


$$\kappa_{xy} = \frac{1}{2} \kappa_Q$$
$$\kappa_Q = \frac{\pi^2}{6} \frac{k_B^2}{h}$$

Signatures of a QSL: *quantized* thermal Hall conductance

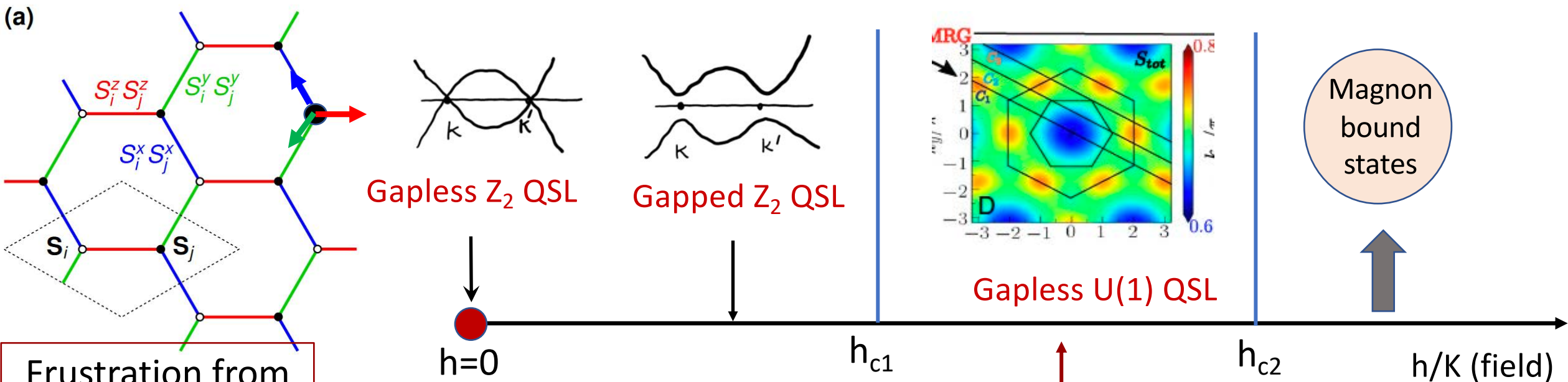
$$\kappa_{xy}^{2D} = \left[\frac{1}{2} \right] \frac{\pi}{6} \frac{k_B^2}{\hbar} T$$

$$\kappa_{xy}^{2D} = \kappa_{xy} d$$



Y. Kasahara, T. Ohnishi, Y. Mizukami, O. Tanaka, Sixiao Ma, K. Sugii, N. Kurita, H. Tanaka, J. Nasu, Y. Motome, T. Shibauchi & Y. Matsuda, Nature 559, 227 (2018).

Predictions for Kitaev magnets



Frustration from bond-dependent interactions

Kitaev (2006)

Jackeli, Khaliullin (2009)

$$\kappa_{xy}^{2D} = \left[\begin{array}{c} 1 \\ 2 \end{array} \right] \frac{\pi}{6} \frac{k_B^2}{\hbar} T$$

cf: FQHE $\sigma_{xy} = \frac{1}{3} \frac{e^2}{h}$

Fermi surface of neutral, gapless spinons in an insulator!

$$\kappa_{xx} \sim T$$

Chiral spinon edge mode \rightarrow Quantized thermal Hall conductance

Ronquillo, Vengal, Trivedi, PRB **99**, 140413 (2019)
 Patel & Trivedi, PNAS **116**, 12199 (2019)
 Pradhan, Patel, Trivedi, PRB **101**, 180401 (2020)

Going forward.....

1. Predictions for Raman scattering to observe magnon bound states
2. Spin and heat transport
3. Observation of neutral spinon Fermi surfaces
4. Doped QSLs → ??

